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# Brief paper

# Predictor-based networked control under uncertain transmission delays\*



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#### ABSTRACT

We consider state-feedback predictor-based control of networked control systems with large time-varying communication delays. We show that even a small controller-to-actuators delay uncertainty may lead to a non-small residual error in a networked control system and reveal how to analyze such systems. Then we design an event-triggered predictor-based controller with sampled measurements and demonstrate that, depending on the delay uncertainty, one should choose various predictor models to reduce the error due to triggering. For the systems with a network only from a controller to actuators, we take advantage of the continuously available measurements by using a continuous-time predictor and employing a recently proposed switching approach to event-triggered control. By an example of an inverted pendulum on a cart we demonstrate that the proposed approach is extremely efficient when the uncertain time-varying network-induced delays are too large for the system to be stabilizable without a predictor.

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# 1. Introduction

In networked control systems (NCSs), which are comprised of sensors, controllers, and actuators connected through a communication medium, transmitted signals are sampled in time and are subject to time-delays. Most existing papers on NCSs study robust stability with respect to small communication delays (see, e.g., Antsaklis & Baillieul, 2004; Fridman, Seuret, & Richard, 2004; Gao, Chen, & Lam, 2008; Liu & Fridman, 2012). To compensate large transport delays, predictor-based approach can be employed. So far this was done only for sampled-data control with *known constant delays* (Karafyllis & Krstic, 2012; Mazenc & Normand-Cyrot, 2013). In this paper we develop predictor-based sampled-data control for *unknown time-varying delays*.

There are several works that study robustness (w.r.t. delay uncertainty) of a predictor-based *continuous-time* controller (Bekiaris-Liberis & Krstic, 2013; Karafyllis & Krstic, 2013; Li, Zhou, & Lin, 2014; Yue & Han, 2005). In these works the residual error that appears due to delay uncertainty can be made arbitrary small by reducing the upper bound of the uncertainty. However, this is

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not true for *sampled-data systems*, where an arbitrary small delay uncertainty may lead to a non-vanishing error (because the terms that appear in the residual error may belong to different sampling intervals).

In this work we study an NCS with two networks: from sensors to a controller and from the controller to actuators. Both networks introduce large time-varying delays. We assume that the messages sent from the sensors are time stamped (Zhang, Branicky, & Phillips, 2001). This allows to calculate the sensors-to-controller delay. The controller-to-actuators delay is assumed to be unknown but belongs to a known delay interval. We use a state-feedback predictor, which is calculated on the controller side, to partially compensate both delays. By extending the time-delay modeling of NCSs (Fridman, 2014; Fridman et al., 2004; Gao et al., 2008), we present the system in a form suitable for analysis. Using a proper Lyapunov–Krasovskii functional, we derive LMI-based conditions for the stability analysis and design that guarantee the desired decay rate of convergence.

As the next step we introduce an event-triggering mechanism (Heemels, Johansson, & Tabuada, 2012; Tabuada, 2007) into predictor-based networked control. The event-triggering condition is checked on a controller side and allows to reduce the amount of control signals sent through a controller-to-actuators network. We demonstrate that it is reasonable to choose different predictor models for a zero and non-zero controller-to-actuators delay uncertainty. Finally, we consider predictor-based event-triggered control with continuous-time measurements and

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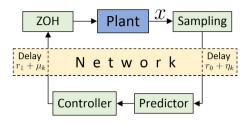


Fig. 1. NCS with a predictor.

sampled control signals sent through a controller-to-actuators network. Such systems naturally appear when a visually observed vehicle is controlled through a wireless network. To take advantage of the continuously available measurements, we use a continuous-time predictor (Artstein, 1982; Kwon & Pearson, 1980; Mazenc & Normand-Cyrot, 2013) and a recently proposed switching approach to event-triggered control (Selivanov & Fridman, in press).

By an example of an inverted pendulum on a cart we demonstrate that the proposed approach is extremely efficient when the uncertain time-varying network-induced delays are too large for the system to be stabilizable without a predictor. Moreover, the considered event-triggering mechanism allows to significantly reduce the network workload.

## 2. Networked control employing predictor

Consider the linear system

$$\dot{x}(t) = Ax(t) + Bu(t), \quad t \ge 0 \tag{1}$$

with the state  $x \in \mathbb{R}^n$ , control input  $u \in \mathbb{R}^m$ , and constant matrices A, B of appropriate dimensions for which there exists  $K \in \mathbb{R}^{m \times n}$  such that A + BK is a Hurwitz matrix. Let  $\{s_k\}$  be sampling instants such that

$$0 = s_0 < s_1 < s_2 < \cdots, \qquad \lim_{k \to \infty} s_k = \infty, \qquad s_{k+1} - s_k \le h.$$

At each sampling time  $s_k$  the state  $x(s_k)$  is transmitted to a controller, where a control signal is calculated and transmitted to actuators (see Fig. 1). We assume that the controller and the actuators are event-driven (update their outputs as soon as they receive new data). Both state and control signals are subject to network-induced delays  $r_0 + \eta_k$  and  $r_1 + \mu_k$ , respectively. Thus, the controller updating times are  $\xi_k = s_k + r_0 + \eta_k$  and the actuators updating times are  $t_k = \xi_k + r_1 + \mu_k$ , where  $k \in \mathbb{Z}_+$ ,  $\mathbb{Z}_+ = \{0, 1, 2, \ldots\}$  (see Fig. 2). Here  $r_0$  and  $r_1$  are known constant transport delays,  $\eta_k$  and  $\mu_k$  are time-varying delays such that

$$0 \le \eta_k \le \eta_M, \quad 0 \le \mu_k \le \mu_M, \quad \xi_k \le \xi_{k+1}, \quad t_k \le t_{k+1}.$$
 (2)

We assume that the sensors and controller clocks are synchronized and together with  $x(s_k)$  the time stamp  $s_k$  is transmitted so that the value of  $\eta_k = \xi_k - s_k - r_0$  can be calculated on the controller side at time  $\xi_k$ . Delay uncertainty  $\mu_k$  is assumed to be unknown. Note that we do not require  $\eta_k + \mu_k$  to be less than the sampling interval but the sequences  $\{\xi_k\}$  and  $\{t_k\}$  of updating times should be increasing. Define  $u(\xi) = 0$  for  $\xi < \xi_0$ . Then (1) transforms to

$$\dot{x}(t) = Ax(t), t \in [0, t_0), 
\dot{x}(t) = Ax(t) + Bu(\xi_k), t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+.$$
(3)

To construct a predictor-based controller for (3), define

$$v(\xi) \triangleq \begin{cases} 0, & \xi < \xi_0, \\ u(\xi_k), & \xi \in [\xi_k, \xi_{k+1}), \ k \in \mathbb{Z}_+ \end{cases}$$
 (4)

and consider the change of variable (Artstein, 1982; Kwon & Pearson, 1980)

$$z(t) \triangleq e^{A(r_0 + r_1)} x(t) + \int_{t - r_1}^{t + r_0} e^{A(t + r_0 - \theta)} B v(\theta) d\theta, \tag{5}$$

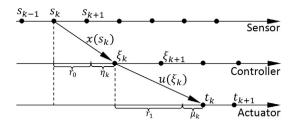


Fig. 2. Time-delays and updating times.

where  $t \geq 0$ . We set z(t) = 0 for t < 0. If  $\mu_M = 0$ , i.e. controller-to-actuators delay is constant, (4), (5) is the state prediction, namely,  $z(t) = x(t + r_0 + r_1)$ . If  $\mu_k \not\equiv 0$  to obtain the precise state prediction one needs to integrate (3), where  $t_k = \xi_k + r_1 + \mu_k$  depends on  $\mu_k$ . Since  $\mu_k$  is unknown, we use the prediction (4), (5) that is imprecise for  $\mu_k \not\equiv 0$ . By substituting (3) for  $\dot{x}(t)$  we obtain

$$\dot{z}(t) = Az(t) + Bv(t+r_0) - e^{A(r_0+r_1)}Bv(t-r_1), \quad t \in [0, t_0), 
\dot{z}(t) = Az(t) + Bv(t+r_0) + e^{A(r_0+r_1)}B[u(\xi_k) - v(t-r_1)], \quad (6) 
t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+.$$

Consider the following control law

$$u(\xi_k) \triangleq Kz(s_k) = K \left[ e^{A(r_0 + r_1)} x(s_k) + \int_{\xi_k - \eta_k - r_0 - r_1}^{\xi_k - \eta_k} e^{A(\xi_k - \eta_k - \theta)} Bv(\theta) d\theta \right], \quad k \in \mathbb{Z}_+.$$
 (7)

Since  $\eta_k$  is available to the controller at time  $\xi_k$ , the control signal (7) can be calculated. Moreover, no numerical difficulties arise while calculating the integral term in (7) with a piecewise constant  $v(\theta)$  given by (4).

We analyze (4)–(7) using the time-delay approach to NCSs (Fridman, 2014; Fridman et al., 2004; Gao et al., 2008). According to (4), (7),  $v(t+r_0) = Kz(s_k)$  whenever  $t+r_0 \in [\xi_k, \xi_{k+1})$ , that is, when  $t \in [\xi_k - r_0, \xi_{k+1} - r_0)$ . If  $t < \xi_0 - r_0$  then  $v(t+r_0) = 0 = Kz(t-\eta_0)$ . Therefore,

$$v(t+r_0) = Kz(t-\tau(t)), \quad t \in \mathbb{R}, \tag{8}$$

where

$$\tau(t) = \left\{ \begin{array}{ll} \eta_0, & t < \xi_0 - r_0, \\ t - s_k, & t \in [\xi_k - r_0, \xi_{k+1} - r_0), \ k \in \mathbb{Z}_+. \end{array} \right.$$

Note that for  $t \geq \xi_0 - r_0$ 

$$0 \le \tau(t) \le \max_{k} \{ (s_{k+1} + r_0 + \eta_{k+1}) - r_0 - s_k \} \le h + \eta_M.$$

By similar reasoning we obtain

$$\dot{z}(t) = Az(t) + BKz(t - \tau(t)) 
+ e^{A(r_0 + r_1)} BK[z(t - \tau_2(t)) - z(t - \tau_1(t))], \quad t \ge 0, \quad (9)$$

with

$$z(0) = e^{A(r_0 + r_1)} x(0), z(t) = 0 \text{for } t < 0, (10)$$

$$\tau(t) \triangleq \begin{cases} \eta_0, & t < \xi_0 - r_0, \\ t - s_k, & t \in [\xi_k - r_0, \xi_{k+1} - r_0), k \in \mathbb{Z}_+, \end{cases}$$

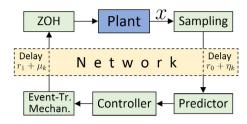
$$\tau_1(t) \triangleq \begin{cases} r_1 + r_0 + \eta_0, & t \in [0, t_0 - \mu_0), \\ t - s_k, & t \in [t_k - \mu_k, t_{k+1} - \mu_{k+1}), k \in \mathbb{Z}_+, \end{cases}$$

$$\tau_2(t) \triangleq \begin{cases} r_0 + r_1 + \eta_0 + \mu_0, & t \in [0, t_0), \\ t - s_k, & t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+, \end{cases}$$

$$0 < \tau(t) < \bar{\tau} \triangleq h + n_M.$$

$$0 \le \tau(t) \le \bar{\tau} \triangleq h + \eta_M,$$
  

$$r_0 + r_1 < \tau_1(t) < \tau_2(t) < \tau_M \triangleq r_0 + r_1 + h + \eta_M + \mu_M.$$



**Fig. 3.** NCS with a predictor and event-triggering mechanism.

**Remark 1.** If  $\xi_k = \xi_{k+1}$  then  $\tau(t) = t - s_{k-1}$  for  $t \in [\xi_{k-1} - r_0, \xi_{k+1} - r_0)$  and it may seem that the bound  $\tau(t) \leq h + \eta_M$  can be violated. This is not the case, since  $\xi_k = \xi_{k+1}$  implies  $s_k + r_0 + \eta_k = s_{k+1} + r_0 + \eta_{k+1}$ , that is,  $\eta_{k+1} \leq \eta_k - h$ . Therefore, for  $t \in [\xi_{k-1} - r_0, \xi_{k+1} - r_0)$ 

$$\tau(t) \le \xi_{k+1} - r_0 - s_{k-1} = s_{k+1} + r_0 + \eta_{k+1} - r_0 - s_{k-1}$$
  
 
$$\le (s_{k+1} - s_{k-1}) + (\eta_k - h) \le 2h + \eta_k - h = \eta_k + h.$$

Similar explanation is valid for  $\xi_k = \xi_{k+1} = \cdots = \xi_{k+d}$  and  $t_k = t_{k+1} = \cdots = t_{k+d}$ .

**Remark 2.** If  $\mu_k \equiv 0$  then  $\tau_1(t) = \tau_2(t)$  and (9) simplifies to

$$\dot{z}(t) = Az(t) + BKz(t - \tau(t)), \quad t > 0.$$
 (12)

The system (12) is independent of  $r_0$  and  $r_1$ . Therefore, the stability conditions for (12) are independent of  $r_0$  and  $r_1$ : these delays are compensated by the predictor (4), (5). For  $\mu_k \not\equiv 0$  the system (9) contains the residual error that appears due to impreciseness of the predictor (4), (5).

**Remark 3.** While studying robustness of a predictor for the timedelay  $r + \mu(t)$  with the uncertainty  $\mu(t) \leq \mu_M$ , usually the residual  $e^{Ar}BK[z(t-r-\mu(t))-z(t-r)]$  appears in the closed-loop system (Fridman, 2014; Karafyllis & Krstic, 2013). Since  $\dot{z}$  is generally proved to be bounded, even for unstable A and large r by reducing  $\mu_M$  one can retain this error small enough to preserve the stability. In a word, r can be made arbitrary large by decreasing  $\mu_M$ . This does not hold for sampled-data systems: for arbitrary small  $\mu_k > 0$  when  $t \in [t_k - \mu_k, t_k)$  the arguments of  $z(t - \tau_1(t))$  and  $z(t - \tau_2(t))$  belong to different sampling intervals, namely,  $(t - \tau_1(t)) - (t - \tau_2(t)) = s_k - s_{k-1}$  (if  $t_k - \mu_k > t_{k-1}$ ,  $k \geq 1$ ). Therefore, smallness of the residual in (9) for large  $r = r_0 + r_1$  can be guaranteed only by reducing  $\mu_M$  together with the maximum sampling interval h.

Stability conditions for the systems (9) and (12) follow from Theorem 1 and Proposition 1 of the next section.

### 3. Event-triggering with sampled measurements

To reduce the workload of a controller-to-actuators network, we incorporate an event-triggering mechanism (see Tabuada, 2007). The idea is to send only those control signals  $u(\xi_k)$  which relative change is greater than a constant  $\sigma \in [0, 1)$  (see Fig. 3), namely, that violate the following event-triggering rule

$$\left(\hat{u}(\xi_{k-1}) - u(\xi_k)\right)^T \Omega\left(\hat{u}(\xi_{k-1}) - u(\xi_k)\right) \le \sigma u^T(\xi_k) \Omega u(\xi_k), \quad (13)$$

where a matrix  $\Omega \geq 0$  and a scalar  $\sigma \geq 0$  are event-triggering parameters and  $\hat{u}(\xi_{k-1})$  is the last sent control value before the time instant  $\xi_k$ :

$$\hat{u}(\xi_k) = \begin{cases} \hat{u}(\xi_{k-1}), & \text{if (13) is true,} \\ u(\xi_k), & \text{otherwise,} \end{cases}$$
 (14)

with  $\hat{u}(\xi_{-1}) = 0$ . Note that the sensor sends measurements at time instants  $s_k$  (such that  $s_{k+1} - s_k \le h$ ) independent of the event-triggering events. Then (3) takes the form

$$\dot{x}(t) = Ax(t), t \in [0, t_0), 
\dot{x}(t) = Ax(t) + B\hat{u}(\xi_k), t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+.$$
(15)

Consider the change of variable (5) with  $v(\theta)$  to be defined. By substituting (15) for  $\dot{x}(t)$ , we obtain

$$\dot{z}(t) = Az(t) + Bv(t + r_0) - e^{A(r_0 + r_1)}Bv(t - r_1), \quad t \in [0, t_0),$$

$$\dot{z}(t) = Az(t) + Bv(t+r_0) + e^{A(r_0+r_1)}B\left[\hat{u}(\xi_k) - v(t-r_1)\right], \quad (16)$$

$$t \in [t_k, t_{k+1}), \ k \in \mathbb{Z}_+.$$

We now show that for  $\mu_M=0$  and  $\mu_M>0$  one should pick different functions  $v(\theta)$  in the predictor (5).

1. Let  $\mu_M = 0$ . To cancel the last term in (15) we take  $v(t - r_1) = \hat{u}(\xi_k)$  for  $t \in [t_k, t_{k+1})$  or, equivalently,

$$v(\xi) \triangleq \begin{cases} 0, & \xi < \xi_0, \\ \hat{u}(\xi_k), & \xi \in [\xi_k, \xi_{k+1}), \ k \in \mathbb{Z}_+. \end{cases}$$
 (17)

Then (5), (17) is the state prediction for the system (15), i.e.  $z(t) = x(t + r_0 + r_1)$ . The system (15) takes the form

$$\dot{z}(t) = Az(t) + Bv(t + r_0), \quad t \ge 0.$$

Let us define

$$e_0(t) \triangleq \begin{cases} 0, & t < \xi_0, \\ \hat{u}(\xi_k) - u(\xi_k), & t \in [\xi_k, \xi_{k+1}), \ k \in \mathbb{Z}_+. \end{cases}$$

Then for  $t \in [\xi_k - r_0, \xi_{k+1} - r_0)$  we have

$$v(t + r_0) = \hat{u}(\xi_k) = u(\xi_k) + e_0(t + r_0) = Kz(s_k) + e_0(t + r_0)$$
  
=  $Kz(t - \tau(t)) + e_0(t + r_0)$ 

with  $\tau(t)$  defined in (11). For  $t < \xi_0 - r_0$ ,  $v(t + r_0) = 0 = Kz(t - \eta_0) + e_0(t + r_0)$ . Therefore,

$$\dot{z}(t) = Az(t) + BKz(t - \tau(t)) + Be_0(t + r_0), \quad t \ge 0$$
 (18)

with (10), and (13), (14) yield

$$0 \le \sigma z^{T}(t - \tau(t))K^{T}\Omega Kz(t - \tau(t)) - e_0^{T}(t + r_0)\Omega e_0(t + r_0)$$

for  $t\geq 0$ . It may seem that (18) depends on the future, since  $e_0(t+r_0)$  enters the system. This is not the case, since  $e_0(\xi)$  for  $\xi\in [\xi_k,\xi_{k+1})$  is fully defined by z(s) with  $s\leq s_k=\xi_k-r_0-\eta_k$ . 2. Let  $\mu_k\not\equiv 0$ . Then the last term in (15) cannot be canceled, since this would require to take  $v(\xi)=\hat{u}(\xi_k)$  for  $\xi\in [\xi_k+\mu_k,\xi_{k+1}+\mu_{k+1})$  with unknown  $\mu_k$ . If one defines  $v(\xi)$  as in (17) and uses  $v(\xi)=\hat{u}(\xi_k)=u(\xi_k)+e_0(\xi)$ , the functions  $v(t+r_0),v(t-r_1),\hat{u}(\xi_k)$  present in (15) will introduce three errors due to triggering  $e_0$  with different arguments. To avoid additional triggering errors, we do not include them into the definition of  $v(\xi)$ , namely, we use (4) where  $v(\xi)=u(\xi_k)$  or zero. Let us define

$$e_1(t) \triangleq \begin{cases} 0, & t < t_0, \\ \hat{u}(\xi_k) - u(\xi_k), & t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+. \end{cases}$$

Then we have

$$0 = Kz(t - \tau_2(t)) + e_1(t), \quad t \in [0, t_0),$$
  

$$\hat{u}(\xi_k) = u(\xi_k) + e_1(t) = Kz(s_k) + e_1(t)$$
  

$$= Kz(t - \tau_2(t)) + e_1(t), \quad t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+$$

with  $\tau_2(t)$  defined in (11). By arguments similar to those from Section 2 we obtain

$$\dot{z}(t) = Az(t) + BKz(t - \tau(t)) + e^{A(r_0 + r_1)} Be_1(t) 
+ e^{A(r_0 + r_1)} BK[z(t - \tau_2(t)) - z(t - \tau_1(t))], \quad t \ge 0, \quad (19)$$

with (10), where  $\tau$ ,  $\tau_1$ ,  $\tau_2$  are defined in (11) and due to (13), (14) for t > 0

$$0 \le \sigma z^{\mathsf{T}}(t - \tau_2(t)) K^{\mathsf{T}} \Omega K z(t - \tau_2(t)) - e_1^{\mathsf{T}}(t) \Omega e_1(t). \tag{20}$$

$$\underbrace{t - \tau_M \quad t - \tau_2(t) \quad t - \tau_1(t) \quad t - r_0 - r_1}_{V_{S_1}, \ V_{R_1}} \underbrace{t - \bar{\tau} \quad t - \tau(t) \quad t}_{V_S, \ V_{R_0}, \ V_{R_0}} \underbrace{+ \bar{\tau} \quad t - \tau(t) \quad t}_{V_{S_0}, \ V_{R_0}} \underbrace{+ \bar{\tau} \quad t - \tau(t) \quad t}_{V_{S_0}, \ V_{R_0}}$$

Fig. 4. Lyapunov-Krasovskii functional.

**Remark 4.** Note that for  $\mu_M = 0$  (19) transforms to

$$\dot{z}(t) = Az(t) + BKz(t - \tau(t)) + e^{A(r_0 + r_1)}Be_1(t).$$

Since the triggering error  $e_1(t)$  is multiplied by  $e^{A(r_0+r_1)}$ , to guarantee the stability of the system for unstable A and large  $r_0 + r_1$ one needs to retain  $e_1(t)$  small enough. This problem does not appear in the system (18) for which the stability conditions are independent of  $r_0$  and  $r_1$  (see Proposition 1).

To avoid some technical complications, we assume that  $\bar{\tau} =$  $h + \eta_M < r_0 + r_1$ . The stability conditions are derived using Lyapunov-Krasovskii functional (see Fig. 4)

$$V = V_P + V_{S_0} + V_{R_0} + V_S + V_{S_1} + V_{R_1}$$

$$\begin{split} V_P &= z^T(t) P z(t), \quad P > 0, \\ V_{S_0} &= \int_{t-\bar{\tau}}^t e^{2\alpha(s-t)} z^T(s) S_0 z(s) \, ds, \quad S_0 \geq 0, \\ V_{R_0} &= \bar{\tau} \int_{-\bar{\tau}}^0 \int_{t+\theta}^t e^{2\alpha(s-t)} \dot{z}^T(s) R_0 \dot{z}(s) \, ds \, d\theta, \quad R_0 \geq 0, \\ V_S &= \int_{t-r_0-r_1}^{t-\bar{\tau}} e^{2\alpha(s-t)} z^T(s) S z(s) \, ds, \quad S \geq 0, \\ V_{S_1} &= \int_{t-\tau_M}^{t-r_0-r_1} e^{2\alpha(s-t)} z^T(s) S_1 z(s) \, ds, \quad S_1 \geq 0, \\ V_{R_1} &= (\tau_M - r_0 - r_1) \\ &\qquad \times \int_{-\tau_M}^{-r_0-r_1} \int_{t+\theta}^t e^{2\alpha(s-t)} \dot{z}^T(s) R_1 \dot{z}(s) \, ds \, d\theta, \quad R_1 \geq 0. \end{split}$$

Note that the delayed arguments of z in (19) belong to two bold regions in Fig. 4. To analyze these regions, we use standard delaydependent terms in V (see, e.g., Fridman, 2014). To allow for large transport delays  $r_0$  and  $r_1$ , we use only delay-independent term  $V_S$ for the interval  $[t - r_0 - r_1, t - \bar{\tau}]$ .

**Lemma 1.** For given  $\mu_M \geq 0$ ,  $\eta_M \geq 0$ , and  $\alpha > 0$  let there exist an  $n \times n$  matrix P > 0,  $n \times n$  non-negative matrices S,  $S_0$ ,  $S_1$ ,  $R_0$ ,  $R_1$ , an  $m \times m$  matrix  $\Omega \geq 0$ , and  $n \times n$  matrices  $P_2$ ,  $P_3$ ,  $G_i$  (i = 0, ..., 3)

$$\Phi \leq 0, \qquad egin{bmatrix} R_0 & G_0 \\ * & R_0 \end{bmatrix} \geq 0, \qquad egin{bmatrix} R_1 & G_i \\ * & R_1 \end{bmatrix} \geq 0, \quad i=1,2,3,$$

where  $\Phi = \{\Phi_{ii}\}$  is the symmetric matrix composed from

where 
$$\Phi = \{\Phi_{ij}\}$$
 is the symmetric matrix composed from  $\Phi_{11} = 2\alpha P + S_0 - \bar{\rho}R_0 + P_2^TA + A^TP_2,$   $\Phi_{12} = P - P_2^T + A^TP_3,$   $\Phi_{13} = \bar{\rho}(R_0 - G_0) + P_2^TBK,$   $\Phi_{14} = \bar{\rho}G_0,$   $\Phi_{19} = P_2^Te^{A(r_0+r_1)}B,$   $\Phi_{17} = -\Phi_{16} = \Phi_{19}K,$   $\Phi_{22} = \bar{\tau}^2R_0 + (\tau_M - r_0 - r_1)^2R_1 - P_3 - P_3^T,$   $\Phi_{23} = P_3^TBK,$   $\Phi_{29} = P_3^Te^{A(r_0+r_1)}B,$   $\Phi_{27} = -\Phi_{26} = \Phi_{29}K,$   $\Phi_{34} = \bar{\rho}(R_0 - G_0),$   $\Phi_{33} = -\Phi_{34} - \Phi_{34}^T,$   $\Phi_{44} = \bar{\rho}(S - S_0 - R_0),$   $\Phi_{56} = \rho_M(R_1 - G_1),$   $\Phi_{55} = e^{-2\alpha(r_0+r_1)}(S_1 - S) - \rho_M R_1,$   $\Phi_{58} = \rho_M G_2,$   $\Phi_{57} = \rho_M(G_1 - G_2),$   $\Phi_{66} = -\Phi_{56} - \Phi_{56}^T,$ 

 $\Phi_{67} = \rho_{\rm M}(R_1 - G_1 + G_2 - G_3), \qquad \Phi_{68} = \rho_{\rm M}(G_3 - G_2),$ 

$$\begin{split} & \Phi_{78} = \rho_{M}(R_{1} - G_{3}), \qquad \Phi_{77} = -\Phi_{78} - \Phi_{78}^{T} + \sigma K^{T} \Omega K, \\ & \Phi_{88} = -\rho_{M}(S_{1} + R_{1}), \, \Phi_{99} = -\Omega, \qquad \bar{\rho} = e^{-2\alpha \bar{\tau}}, \\ & \rho_{M} = e^{-2\alpha \tau_{M}}. \end{split}$$

other blocks are zero matrices. Then the system (10), (19) is exponentially stable with a decay rate  $\alpha$ , i.e. for some M > 0 solutions of the system satisfy

$$|z(t)| < Me^{-\alpha t}|z(0)|, \quad t > 0.$$
 (21)

Proof is given in Appendix A.

Theorem 1 (Sampled Event-Triggering). Under the conditions of Lemma 1 the system (7), (13)–(15) with  $v(\theta)$  given by (4) is exponentially stable with a decay rate  $\alpha$ , i.e. for some M > 0 solutions of the system satisfy

$$|x(t)| \le Me^{-\alpha t}|x(0)|. \tag{22}$$

Proof is given in Appendix B.

**Remark 5.** If A + BK is Hurwitz and  $\alpha = \tau_M = 0$  the LMIs of Lemma 1 are always feasible by the standard arguments for delaydependent conditions (Fridman, 2014). That is, LMIs of Lemma 1 establish relation between the decay rate, sampling period, and time-delays that preserve exponential stability of the system (4), (7), (13)-(15).

**Corollary 1.** If conditions of Lemma 1 are satisfied with  $\sigma = 0$ ,  $\Omega > 0$ , the system (3) under the control law (7) with  $v(\theta)$  given by (4) is exponentially stable with a decay rate  $\alpha$ .

**Proof.** For  $\sigma = 0$ ,  $\Omega > 0$  event-triggering mechanism (13), (14) implies  $\hat{u}(\xi_k) = u(\xi_k)$  and  $e_1(t) \equiv 0$ , therefore, (19) coincides with (9). Then under conditions of Lemma 1 (9) is exponentially stable. This implies exponential stability of (3), (4), (7) due to the change of variable (4), (5).

For the case of  $\mu_M = 0$  the next proposition gives stability conditions independent of  $r_0$  and  $r_1$ .

**Proposition 1.** For  $\mu_M = 0$  and given  $\eta_M \ge 0$ ,  $\alpha > 0$ , if there exist an  $n \times n$  matrix P > 0,  $n \times n$  non-negative matrices S, R, an  $m \times m$ matrix  $\Omega > 0$ , and  $n \times n$  matrices  $P_2$ ,  $P_3$ , G such that

$$\Psi \leq 0, \qquad \begin{bmatrix} R & G \\ * & R \end{bmatrix} \geq 0,$$

where  $\Psi = \{\Psi_{ii}\}$  is the symmetric matrix composed from

$$\begin{split} &\Psi_{11} = 2\alpha P + S - \bar{\rho}R + P_2^T A + A^T P_2, & \Psi_{12} = P - P_2^T + A^T P_3, \\ &\Psi_{13} = \bar{\rho}(R - G) + P_2^T B K, & \Psi_{14} = \bar{\rho}G, & \Psi_{15} = P_2^T B, \\ &\Psi_{55} = -\Omega, & \Psi_{22} = \bar{\tau}^2 R - P_3 - P_3^T, \\ &\Psi_{25} = P_3^T B, & \Psi_{23} = \Psi_{25}K, & \Psi_{34} = \bar{\rho}(R - G), \\ &\Psi_{33} = -\Psi_{34} - \Psi_{34}^T + \sigma K^T \Omega K, & \Psi_{44} = -\bar{\rho}(S + R), \bar{\rho} = e^{-2\alpha \bar{\tau}}, \end{split}$$

other blocks are zero matrices, then (7), (13)–(15) with  $v(\theta)$  given by (17) is exponentially stable with a decay rate  $\alpha$ .

*Proof* is based on the representation (18) and is very similar to the proof of Lemma 1.

# 4. Event-triggering with continuous measurements

In Section 2 the control signals are sent at  $\xi_k = s_k + r_0 + \eta_k$ , where  $r_0 + \eta_k$  are sensors-to-controller delays and  $s_k$  are measurement sampling instants. In this section we consider the system (3) without a sensors-to-controller network ( $r_0 = \eta_k = 0$ ) and with measurements continuously available to the controller. The control law is given by

$$u(\xi) = Kz(\xi), \quad \xi \ge 0, \tag{23}$$

where  $z(\xi)$  is given by (5) with  $v(\theta)$  to be defined. To obtain the time instants  $\{\xi_k\}$  when a continuously changing control signal  $u(\xi)$  is sampled and sent through a controller-to-actuators network, we use a switching approach to event-triggered control (Selivanov & Fridman, in press). Namely, we choose  $\xi_0 = 0$ ,

$$\xi_{k+1} = \min\{\xi \ge \xi_k + h \mid (u(\xi_k) - u(\xi))^T \Omega(u(\xi_k) - u(\xi)) \\ \ge \sigma u^T(\xi) \Omega u(\xi)\},$$
(24)

where a matrix  $\Omega \geq 0$  and scalars h > 0,  $\sigma \geq 0$  are event-triggering parameters. According to (24), after the controller sends out the control signal  $u(\xi_k)$ , it waits for at least h seconds. Then it starts to continuously check the event-triggering rule and sends the next control signal when the event-triggering condition is violated. The idea of a switching approach to event-triggered control is to present the closed-loop system as a switching between a system with sampling h and a system with event-triggering mechanism. This allows to ensure large inter-event times and reduce the amount of sent signals (Selivanov & Fridman, in press).

Calculating  $\dot{z}$  given by (5) in view of (3) we obtain (5) (with  $r_0 = \eta_k = 0$ ). Similar to Section 3 depending on the value of  $\mu_M$  one should choose different functions  $v(\theta)$ .

1. Let  $\mu_M = 0$ . For  $v(\theta)$  given in (4), Eq. (5) takes the form

$$\dot{z}(t) = Az(t) + Bu(\xi_k), \quad t \in [\xi_k, \xi_{k+1}).$$

Following (Selivanov & Fridman, in press) we present the latter system as a switching between two systems:

$$\dot{z}(t) = Az(t) + BKz(t - \tau_3(t)), \quad t \in [\xi_k, \xi_k + h), 
\dot{z}(t) = (A + BK)z(t) + Be_2(t), \quad t \in [\xi_k + h, \xi_{k+1}),$$
(25)

where the initial conditions are given by (10), and

$$\begin{array}{ll} \tau_3(t) \triangleq t - \xi_k \leq h, & t \in [\xi_k, \xi_k + h), \\ e_2(t) \triangleq \mathit{Kz}(\xi_k) - \mathit{Kz}(t), & t \in [\xi_k + h, \xi_{k+1}) \end{array}$$

and (24) implies

$$0 < \sigma z^{T}(t)K^{T}\Omega Kz(t) - e_{2}^{T}(t)\Omega e_{2}(t), \quad t \in [\xi_{k} + h, \xi_{k+1}).$$

2. Let  $\mu_k \not\equiv 0$ . As it has been explained in Section 3, in this case it is reasonable not to include the error due to triggering in the definition of  $v(\theta)$ . Therefore, we take

$$v(\xi) \triangleq u(\xi) = Kz(\xi), \quad \xi \ge 0. \tag{26}$$

Then by calculating  $\dot{z}$  we obtain

$$\dot{z}(t) = Az(t) + BKz(t) - e^{Ar_1}BKz(t - r_1), \quad t \in [0, t_0), 
\dot{z}(t) = Az(t) + BKz(t) + e^{Ar_1}BK[z(\xi_k) - z(t - r_1)], 
t \in [t_k, t_{k+1}), k \in \mathbb{Z}_+.$$
(27)

Further analysis of the system (26) is based on a switching approach to event-triggered control (Selivanov & Fridman, in press). Define

$$t_{-1}^* \triangleq \min\{h, t_0\}, \qquad t_k^* \triangleq \min\{t_k + h, t_{k+1}\} \quad \text{for } k \in \mathbb{Z}_+.$$

We have  $z(t - r_1 - \tau_4(t)) = 0$  for  $t \in [0, t_{-1}^*)$  and  $z(\xi_k) = z(t - r_1 - \tau_4(t))$  for  $t \in [t_k, t_k^*)$ , where

$$\tau_4(t) \triangleq \left\{ \begin{array}{l} \mu_0, & t \in [0, t_{-1}^*), \\ t - \xi_k - r_1, & t \in [t_k, t_k^*). \end{array} \right.$$

Note that  $\tau_4(t) \leq \tilde{\tau} \triangleq h + \mu_M$ . Further,  $Kz(t - r_1 - \mu(t)) + e_3(t) = 0$  for  $t \in [t_{-1}^*, t_0)$  and  $Kz(\xi_k) = Kz(t - r_1 - \mu(t)) + e_3(t)$  for  $t \in [t_k^*, t_{k+1})$ , where

$$\mu(t) \triangleq \begin{cases} \mu_0, & t \in [t_{-1}^*, t_0), \\ \mu_k + (t - t_k - h) \frac{\mu_{k+1} - \mu_k}{t_{k+1} - t_k - h}, & t \in [t_k^*, t_{k+1}), \end{cases}$$

$$e_3(t) \triangleq \begin{cases} 0, & t \in [t_{-1}^*, t_0), \\ KZ(\xi_k) - KZ(t - r_1 - \mu(t)), & t \in [t_k^*, t_{k+1}). \end{cases}$$

The function  $\mu(t)$  is chosen so that  $t-r_1-\mu(t)\in [\xi_k+h,\xi_{k+1})$  for  $t\in [t_k^*,t_{k+1})$ , therefore, (24) implies

$$0 \le \sigma z^{T} (t - r_1 - \mu(t)) K^{T} \Omega K z (t - r_1 - \mu(t))$$
$$- e_3^{T}(t) \Omega e_3(t)$$
 (28)

for  $t \in [t_{k-1}^*, t_k)$  with  $k \in \mathbb{Z}_+$ .

Finally, the system (26) is presented in the form

$$\dot{z}(t) = (A + BK)z(t) + e^{Ar_1}BK[z(t - r_1 - \tau_4(t)) 
- z(t - r_1)], \quad t \in [0, t_{-1}^*) \cup [t_k, t_k^*),$$
(29)

$$\dot{z}(t) = (A + BK)z(t) + e^{Ar_1}BK[z(t - r_1 - \mu(t)) 
- z(t - r_1)] + e^{Ar_1}Be_3(t), \quad t \in [t_{-1}^*, t_0) \cup [t_k^*, t_{k+1}) \quad (30)$$

with (10) and  $0 \le \tau_4(t) \le \tilde{\tau} = h + \mu_M$ ,  $0 \le \mu(t) \le \mu_M$ .

**Lemma 2.** For given  $\mu_M \geq 0$  and  $\alpha > 0$  let there exist an  $n \times n$  matrix P > 0,  $n \times n$  non-negative matrices S,  $S_0$ ,  $S_1$ ,  $R_0$ ,  $R_1$ , an  $m \times m$  matrix  $\Omega \geq 0$ , and  $n \times n$  matrices  $P_2$ ,  $P_3$ ,  $G_0$ ,  $G_1$  such that

$$\label{eq:sum_entropy} \varSigma \leq 0, \qquad \mathcal{E} \leq 0, \qquad \begin{bmatrix} R_0 & G_0 \\ * & R_0 \end{bmatrix} \geq 0, \qquad \begin{bmatrix} R_1 & G_1 \\ * & R_1 \end{bmatrix} \geq 0,$$

where  $\Sigma = \{\Sigma_{ij}\}$  and  $\Xi = \{\Xi_{ij}\}$  are the symmetric matrices composed from the matrices

$$\Sigma_{11} = \Xi_{11} = 2\alpha P + S + P_2^T (A + BK) + (A + BK)^T P_2,$$

$$\Sigma_{12} = \Xi_{12} = P - P_2^T + (A + BK)^T P_3, \qquad \Sigma_{34} = \rho_M R_0,$$

$$\Sigma_{46} = \tilde{\rho}G_1, \qquad \Sigma_{15} = \Xi_{14} = -\Sigma_{13} = -\Xi_{13} = P_2^T e^{Ar_1} BK$$

$$\Sigma_{45} = \tilde{\rho}(R_1 - G_1),$$

$$\Sigma_{22} = \Xi_{22} = \mu_M^2 R_0 + h^2 R_1 - P_3 - P_3^T, \qquad \Sigma_{55} = -\Sigma_{45} - \Sigma_{45}^T,$$

$$\Sigma_{25} = \Xi_{24} = -\Sigma_{23} = -\Xi_{23} = P_3^T e^{Ar_1} BK, \qquad \Sigma_{56} = \tilde{\rho} (R_1 - G_1),$$

$$\Sigma_{33} = \Xi_{33} = e^{-2\alpha r_1} (S_0 - S) - \rho_M R_0, \qquad \Sigma_{66} = -\tilde{\rho} (S_1 + R_1),$$

$$\Sigma_{44} = -\rho_M (R_0 + S_0 - S_1) - \tilde{\rho} R_1, \qquad \Xi_{17} = P_2^T e^{Ar_1} B,$$

$$\Xi_{77} = -\Omega$$
,  $\Xi_{27} = P_2^T e^{Ar_1} B$ ,

$$\Xi_{34} = \Xi_{45} = \rho_M (R_0 - G_0), \qquad \Xi_{35} = \rho_M G_0,$$

$$\Xi_{44} = -\Xi_{34} - \Xi_{34}^T + \sigma K^T \Omega K,$$

$$\Xi_{55} = \rho_M (S_1 - S_0 - R_0) - \tilde{\rho} R_1, \qquad \Xi_{56} = \tilde{\rho} R_1.$$

$$\Xi_{66} = -\tilde{\rho}(S_1 + R_1), \, \tilde{\rho} = e^{-2\alpha(r_1 + \tilde{\tau})},$$

$$\rho_M = e^{-2\alpha(r_1 + \mu_M)},$$

other blocks are zero matrices. Then the system (10), (29), (30) with  $\xi_k$  given by (24) is exponentially stable with a decay rate  $\alpha$  (i.e. (21) holds).

Proof is given in Appendix C.

**Theorem 2** (Continuous Event-Triggering). Under the conditions of Lemma 2 the system (3), (5), (23), (24) with  $v(\theta)$  given by (26) is exponentially stable with a decay rate  $\alpha$  (i.e. (22) holds).

*Proof* is similar to the proof of Theorem 1.

**Remark 6.** The control law (5), (23) with  $v(\theta)$  given by (26) requires the knowledge of z(t) for any  $t \ge 0$ . To obtain z(t) during the evolution of the system (3), (5), (24), (23), (26) one has to solve the differential equation

$$\dot{z}(t) = (A + BK)z(t) - e^{Ar_1}BKz(t - r_1), \quad t \in [0, t_0), 
\dot{z}(t) = (A + BK)z(t) + e^{Ar_1}BK[z(\xi_k) - z(t - r_1)], \quad t \in [t_k, t_{k+1}) 
\text{with } z(0) = e^{Ar_1}x(0) \text{ and } z(t) = 0 \text{ for } t < 0.$$

**Proposition 2.** For  $\mu_M=0$  and a given  $\alpha>0$ , if there exist  $n\times n$  matrices P>0,  $S\geq 0$ ,  $R\geq 0$ , an  $m\times m$  matrix  $\Omega\geq 0$ , and  $n\times n$  matrices  $P_2$ ,  $P_3$ , G such that

$$M \leq 0, \qquad N \leq 0, \qquad \begin{bmatrix} R & G \\ * & R \end{bmatrix} \geq 0,$$

where  $M = \{M_{ij}\}$  and  $N = \{N_{ij}\}$  are the symmetric matrices composed from the matrices

$$\begin{split} M_{11} &= 2\alpha P + S - \rho_h R + P_2^T A + A^T P_2, \qquad M_{12} = P - P_2^T + A^T P_3, \\ M_{13} &= \rho_h (R - G) + P_2^T B K, \qquad M_{14} = \rho_h G, \\ M_{22} &= h^2 R - P_3 - P_3^T, \\ M_{23} &= P_3^T B K, \qquad M_{34} = \rho_h (R - G), \qquad M_{33} = -M_{34} - M_{34}, \\ M_{44} &= -\rho_h (S + R), \qquad N_{12} = P - P_2^T + (A + B K)^T P_3, \\ N_{11} &= 2\alpha P + S - \rho_h R + \sigma K^T \Omega K + P_2^T (A + B K) + (A + B K)^T P_2, \\ N_{13} &= \rho_h R, \qquad N_{14} = P_2^T B, \qquad N_{22} = h^2 R - P_3 - P_3^T, \\ N_{24} &= P_3^T B, \end{split}$$

other blocks are zero matrices, then the system (3), (5), (24), (23) with  $v(\theta)$  given by (26) is exponentially stable with a decay rate  $\alpha$ .

 $N_{33} = -\rho_h(S + R), \qquad N_{44} = -\Omega, \qquad \rho_h = e^{-2\alpha h},$ 

*Proof* is based on the representation (25) and is very similar to the proof of Lemma 2.

**Remark 7.** Let us set  $P_3 = \varepsilon_1 P_2$ ,  $\Omega = \varepsilon_2 I_m$  and multiply LMIs of Lemmas 1 and 2, Propositions 1 and 2 by  $I \otimes P_2^{-1}$  and its transpose from the right and the left, respectively. By denoting  $\bar{P}_2 = P_2^{-1}$ ,  $Y = K\bar{P}_2$  and applying Schur complement to  $\sigma Y^T \Omega Y$ , we obtain LMIs with tuning parameters  $\varepsilon_1$ ,  $\varepsilon_2$  that allow to find controller gain  $K = Y\bar{P}_2^{-1}$ . Since requirements  $P_3 = \varepsilon_1 P_2$ ,  $\Omega = \varepsilon_2 I_m$  may be restrictive, after obtaining K one should use Lemmas 1 and 2 or Propositions 1, 2 to obtain larger bound for time-delays and a decay rate. For the details on the LMI-based design see Fridman (2014) and Suplin, Fridman, and Shaked (2007).

# 5. Example: inverted pendulum on a cart

Following (Wang & Lemmon, 2009) we consider an inverted pendulum on a cart controlled through a network described by (1) with

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -mgM^{-1} & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & g/l & 0 \end{bmatrix}, \qquad B = \begin{bmatrix} 0 \\ M^{-1} \\ 0 \\ -(Ml)^{-1} \end{bmatrix}, \tag{31}$$

where M=10 kg is the cart mass, m=1 kg is the bob mass, l=3 m is the arm length and g=10 m/s $^2$  is the gravitational acceleration. The state  $x=(y,\dot{y},\theta,\dot{\theta})^T$  is combined of cart's position y, cart's velocity  $\dot{y}$ , bob's angle  $\theta$  and bob's angular velocity  $\dot{\theta}$ . For such parameters the open-loop system is unstable and can be stabilized by the control law u(t)=Kx(t) with K=1

[2, 12, 378, 210]. In what follows we compare different control strategies proposed in this paper.

We start by considering a system with both sensors-tocontroller and controller-to-actuators networks. The numerical simulations show that the system (3), (31) under the controller u(t) = Kx(t) (without a predictor) is not stable for  $r_0 = r_1 = 0.1$ , h=0.0369, and  $\eta_M=\mu_M=0$ . The conditions of Corollary 1 are satisfied for the same h and larger  $r_0 = r_1 = 0.2$ ,  $\eta_M = \mu_M = 0.01$ , whereas the decay rate is  $\alpha = 0.01$ . That is, the predictor-based control admits significantly larger network delays. Furthermore, this implies that within 20 s of simulation |20/h|+1 = 543 signals are sent through each network in the system (3), (31) under the predictor-based controller (4), (7) ( $\lfloor \cdot \rfloor$  stands for the integer part). For the system (15), (31) under the event-triggered controller (4), (7), (13), (14) with  $\sigma = 0.01$  Theorem 1 gives h = 0.0315. This bound is smaller than the one given by Corollary 1, which means that the event-triggered control requires the measurements  $x(s_k)$ to be sent more often but allows to reduce the amount of sent control values  $u(\xi_k)$ . To obtain the amount of sent signals under the event-triggered control, we perform numerical simulations with x(0) = [0.98, 0, 0.2, 0] and random  $\eta_k$ ,  $\mu_k$  satisfying (2). The results are given in Table 1. As one can see event-triggering allows to reduce the workload of the controller-to-actuators network by more than 75%. The total amount of signals sent through both sensors-to-controller and controller-to-actuators networks is 543. 2 = 1086 for the predictor-based controller (4), (7) and |20/h| +1+116=751 for the event-triggered controller (4), (7), (13), (14).

Now we consider a system with only a controller-to-actuators network ( $r_0 = \eta_M = 0$ ) and continuous measurements. For this case one can apply sampled predictor-based controller (4), (7) or sampled event-triggered controller (4), (7), (13), (14) (with  $s_k = \xi_k$ ). The sampled approach simplifies the calculation of the integral term in (5) but does not take advantage of the continuously available measurements. Indeed, as one can see from Table 1 continuous predictor (5), (26) without event-triggering ( $\sigma = 0$  in (24)) reduces the network workload compared to the sampled predictor by almost 40%.

To compare the sampled event-triggering mechanism (4), (5), (13), (14) and the switching event-triggering mechanism (5), (24), (26), for  $\alpha=0.01$  and each value of  $\sigma=0.01,0.02,\ldots,1$  we apply Theorems 1 and 2 to find the maximum allowable h. Then we perform numerical simulations for each pair of  $(\sigma,h)$  with  $\mu_k$  subject to (2)  $(r_1=0.2,\mu_M=0.01)$  and choose the pair  $(\sigma,h)$  that leads to the smallest amount of sent control signals. In Table 1 one can see that both event-triggering mechanisms significantly reduce the amount of sent control signals. The switching event-triggering reduces the network workload by almost 15% compared to the sampled event-triggering.

#### 6. Conclusions

We considered predictor-based control of NCSs with uncertain network delays. For the event-triggered control we showed that one should use different predictor models depending on the value of the controller-to-actuators delay uncertainty. To take advantage of the continuously available measurements in the systems with only a controller-to-actuators network, we considered a continuous-time predictor with a switching event-triggering mechanism. For the proposed control strategies we obtained LMI-based stability conditions that guaranty the desired exponential decay rate of convergence and allow to find appropriate controller gains. An example of inverted pendulum on a cart demonstrates that event-triggering mechanism allows to reduce the network workload and in those cases where the continuous-time predictor can be applied it has some advantages over the sampled one.

Table 1 Sent control signals (SCS) for different control strategies ( $\alpha = 0.01, r_1 = 0.2, \mu_M = 0.01$ ).

	$r_0 = 0.2, \eta_M = 0.01$			$r_0 = \eta_M = 0$		
	$\overline{\sigma}$	h	SCS	σ	h	SCS
Sampled predictor (4), (7)	0	0.0369	543	0	0.0646	310
Sampled event-triggering (13), (14)	0.01	0.0315	116	0.07	0.046	56
Continuous predictor (5), (26)	-	-	-	0	0.105	191
Switching event-triggering (24)	-	-	-	0.13	0.105	48

#### Appendix A. Proof of Lemma 1

 $\dot{V}_{R_0} + 2\alpha V_{R_0} = \bar{\tau}^2 \dot{z}^T(t) R_0 \dot{z}(t)$ 

For  $t > \tau_M$  we have

$$\begin{split} \dot{V}_{P} + 2\alpha V_{P} &= 2z^{T}(t)P\dot{z}(t) + 2\alpha z^{T}(t)Pz(t), \\ \dot{V}_{S_{0}} + 2\alpha V_{S_{0}} &= z^{T}(t)S_{0}z(t) - e^{-2\alpha\bar{\tau}}z^{T}(t - \bar{\tau})S_{0}z(t - \bar{\tau}), \\ \dot{V}_{S} + 2\alpha V_{S} &= e^{-2\alpha\bar{\tau}}z^{T}(t - \bar{\tau})Sz(t - \bar{\tau}) \\ &- e^{-2\alpha(r_{0} + r_{1})}z^{T}(t - r_{0} - r_{1})Sz(t - r_{0} - r_{1}), \\ \dot{V}_{S_{1}} + 2\alpha V_{S_{1}} &= e^{-2\alpha(r_{0} + r_{1})}z^{T}(t - r_{0} - r_{1})S_{1} \\ &\times z(t - r_{0} - r_{1}) - e^{-2\alpha\tau_{M}}z^{T}(t - \tau_{M})S_{1}z(t - \tau_{M}). \end{split}$$
(A.1)

Using Jensen's inequality (Gu, Kharitonov, & Chen, 2003), Park's theorem (Park, Ko, & Jeong, 2011) and taking into account that  $\tau_1(t) \leq \tau_2(t)$  (Liu, Fridman, & Hetel, 2012) we obtain

$$\begin{split} & -\bar{\tau} \int_{t-\bar{\tau}}^{t} e^{2\alpha(s-t)} \dot{z}^{T}(s) R_{0} \dot{z}(s) \, ds \leq \bar{\tau}^{2} \dot{z}^{T}(t) R_{0} \dot{z}(t) \\ & - e^{-2\alpha \bar{\tau}} \begin{bmatrix} z(t) - z(t - \tau(t)) \\ z(t - \tau(t)) - z(t - \bar{\tau}) \end{bmatrix}^{T} \begin{bmatrix} R_{0} & G_{0} \\ G_{0}^{T} & R_{0} \end{bmatrix} \\ & \times \begin{bmatrix} z(t) - z(t - \tau(t)) \\ z(t - \tau(t)) - z(t - \bar{\tau}) \end{bmatrix}, \end{split} \tag{A.2} \\ \dot{V}_{R_{1}} + 2\alpha V_{R_{1}} &= (\tau_{M} - r_{0} - r_{1})^{2} \dot{z}^{T}(t) R_{1} \dot{z}(t) \\ & - (\tau_{M} - r_{0} - r_{1}) \int_{t - \tau_{M}}^{t - r_{0} - r_{1}} e^{2\alpha(s - t)} \dot{z}^{T}(s) R_{1} \dot{z}(s) \, ds \\ & \leq (\tau_{M} - r_{0} - r_{1})^{2} \dot{z}^{T}(t) R_{1} \dot{z}(t) - e^{-2\alpha \tau_{M}} \\ & \times \begin{bmatrix} z(t - r_{0} - r_{1}) - z(t - \tau_{1}(t)) \\ z(t - \tau_{1}(t)) - z(t - \tau_{2}(t)) \\ z(t - \tau_{2}(t)) - z(t - \tau_{M}) \end{bmatrix}^{T} \begin{bmatrix} R_{1} & G_{1} & G_{2} \\ * & R_{1} & G_{3} \\ * & * & R_{1} \end{bmatrix} \\ & \times \begin{bmatrix} z(t - r_{0} - r_{1}) - z(t - \tau_{1}(t)) \\ z(t - \tau_{1}(t)) - z(t - \tau_{2}(t)) \\ z(t - \tau_{2}(t)) - z(t - \tau_{M}) \end{bmatrix}. \tag{A.3} \end{split}$$

We use the following descriptor representation of (19)

$$0 = 2[z^{T}(t)P_{2}^{T} + \dot{z}^{T}(t)P_{3}^{T}][-\dot{z}(t) + Az + BKz(t - \tau(t)) + e^{A(r_{0} + r_{1})}B(e_{1}(t) + Kz(t - \tau_{2}(t)) - Kz(t - \tau_{1}(t)))].$$
(A.4)

By summing up (20), (A.1)–(A.4) we obtain

$$\dot{V} + 2\alpha V < \varphi^T \Phi \varphi < 0$$

where  $\varphi = \text{col}\{z(t), \dot{z}(t), z(t-\tau(t)), z(t-\bar{\tau}), z(t-r_0-r_1), z(t-\bar{\tau}), z(t-r_0-r_1), z(t-\bar{\tau}), z(t-r_0-r_1), z(t-\bar{\tau}), z$  $\tau_1(t)$ ),  $z(t-\tau_2(t))$ ,  $z(t-\tau_M)$ ,  $e_1(t)$ }. This implies  $\dot{V} \leq -2\alpha V$  and,

$$V(t) \le e^{-2\alpha(t-\tau_M)}V(\tau_M), \quad t \ge \tau_M. \tag{A.5}$$

Define  $z_t = z(t+\theta)$ ,  $\theta \in [-\tau_M, 0]$  and  $\|z_t\|_{PC} = \max_{\theta \in [-\tau_M, 0]} |z(t+\theta)|$ . For  $t \geq 0$  function  $\|z_t\|_{PC}$  is continuous in t and (19), (20) imply  $|\dot{z}(t)| \leq m \|z_t\|_{PC}$  for some m > 0. Therefore,

$$||z_t||_{PC} \leq |z(0)| + \int_0^t m||z_s||_{PC} ds, \quad t \geq 0.$$

By the Gronwall-Bellman Lemma this implies

$$||z_t||_{PC} \le |z(0)|e^{mt}, \quad t \ge 0.$$
 (A.6)

Since  $|\dot{z}(t)| \leq m \|z_t\|_{PC}$ , there exists  $c_1$  such that  $V(\tau_M) \leq c_1 \|z_{\tau_M}\|_{PC}^2 \leq c_1 |z(0)|^2 e^{2m\tau_M}$ . Since  $|z(t)|^2 \lambda_{\min}(P) \leq V(t)$ , (A.5) and (A.6) imply (21) for some M > 0.

# Appendix B. Proof of Theorem 1

From (4), (5), (8) we have

$$x(t) = e^{-A(r_0 + r_1)} z(t)$$

$$- \int_{t-r_1}^{t+r_0} e^{A(t-r_1 - \theta)} BKz(\theta - r_0 - \tau(\theta - r_0)) d\theta, \quad t \ge 0,$$

where z satisfies (10), (19). By Lemma 1 (21) holds, thus

$$|x(t)| \le Ce^{-\alpha t}|z(0)| \le Ce^{-\alpha t} \|e^{A(r_0+r_1)}\| |x(0)|.$$

#### Appendix C. Proof of Lemma 2

For  $t \geq r_1 + \tilde{\tau}$  ( $\tilde{\tau} = h + \mu_M$ ) consider the functional  $V = V_P + V_S + V_{S_0} + V_{R_0} + V_{S_1} + V_{R_1}$ 

$$V = \sigma^{T}(t) D\sigma(t)$$

$$V_P = z^T(t)Pz(t),$$

$$V_{S} = \int_{t-r}^{t} e^{2\alpha(s-t)} z^{T}(s) Sz(s) ds,$$

$$V_{S_0} = \int_{t-r_1-\mu_M}^{t-r_1} e^{2\alpha(s-t)} z^T(s) S_0 z(s) \, ds,$$

$$V_{R_0} = \mu_M \int_{-r_1-\mu_M}^{-r_1} \int_{t+\theta}^t e^{2\alpha(s-t)} \dot{z}^T(s) R_0 \dot{z}(s) \, ds \, d\theta,$$

$$V_{S_1} = \int_{t-r_1 - \tilde{r}}^{t-r_1 - \mu_M} e^{2\alpha(s-t)} z^T(s) S_1 z(s) \, ds,$$

$$V_{R_1} = h \int_{-r_1 - \tilde{\tau}}^{-r_1 - \mu_M} \int_{t+\theta}^{t} e^{2\alpha(s-t)} \dot{z}^T(s) R_1 \dot{z}(s) \, ds \, d\theta.$$

$$\begin{split} \dot{V}_{P} + 2\alpha V_{P} &= 2z^{T}(t)P\dot{z}(t) + 2\alpha z^{T}(t)Pz(t), \\ \dot{V}_{S} + 2\alpha V_{S} &= z^{T}(t)Sz(t) - e^{-2\alpha r_{1}}z^{T}(t-r_{1})Sz(t-r_{1}), \\ \dot{V}_{S_{0}} + 2\alpha V_{S_{0}} &= e^{-2\alpha r_{1}}z^{T}(t-r_{1})S_{0}z(t-r_{1}) \\ &- e^{-2\alpha (r_{1}+\mu_{M})}z^{T}(t-r_{1}-\mu_{M})S_{0}z(t-r_{1}-\mu_{M}), \end{split}$$
 (C.1)

$$\begin{split} \dot{V}_{S_1} + 2\alpha V_{S_1} &= e^{-2\alpha(r_1 + \mu_M)} z^T (t - r_1 - \mu_M) S_1 \\ &\times z (t - r_1 - \mu_M) - e^{-2\alpha(r_1 + \tilde{\tau})} z^T (t - r_1 - \tilde{\tau}) S_1 z (t - r_1 - \tilde{\tau}), \end{split}$$

$$\dot{V}_{R_0} + 2\alpha V_{R_0} = \mu_M^2 \dot{z}^T(t) R_0 \dot{z}(t) 
- \mu_M \int_{t-r_1-\mu_M}^{t-r_1} e^{2\alpha(s-t)} \dot{z}^T(s) R_0 \dot{z}(s) ds,$$

$$-\mu_{M} \int_{t-r_{1}-\mu_{M}} e^{2\alpha(s-t)} \dot{z}^{T}(s) R_{0} \dot{z}(s) ds,$$

$$\dot{V}_{P.} + 2\alpha V_{P.} = h^{2} \dot{z}^{T}(t) R_{1} \dot{z}(t)$$
(C.2)

$$\dot{V}_{R_1} + 2\alpha V_{R_1} = h^2 \dot{z}^T(t) R_1 \dot{z}(t) 
- h \int_{t-r_1-\bar{\tau}}^{t-r_1-\mu_M} e^{2\alpha(s-t)} \dot{z}^T(s) R_1 \dot{z}(s) ds.$$

I. For  $t \in [t_{\nu}^*, t_{k+1})$  we have

$$0 = 2[z^{T}(t)P_{2}^{T} + \dot{z}^{T}(t)P_{3}^{T}][-\dot{z}(t) + (A + BK)z(t) + e^{Ar_{1}}B(Kz(t - r_{1} - \mu(t)) - Kz(t - r_{1}) + e_{3}(t))].$$
(C.3)

To compensate the term  $z(t-r_1-\mu(t))$  using Jensen's inequality and Park's theorem we derive

$$-\mu_{M} \int_{t-r_{1}-\mu_{M}}^{t-r_{1}} e^{2\alpha(s-t)} \dot{z}^{T}(s) R_{0} \dot{z}(s) ds \leq e^{-2\alpha(r_{1}+\mu_{M})}$$

$$\times \left[ z(t-r_{1}) - z(t-r_{1}-\mu(t)) \atop z(t-r_{1}-\mu(t)) - z(t-r_{1}-\mu_{M}) \right]^{T} \left[ \begin{matrix} R_{0} & G_{0} \\ G_{0}^{T} & R_{0} \end{matrix} \right]$$

$$\times \left[ z(t-r_{1}) - z(t-r_{1}-\mu(t)) \atop z(t-r_{1}-\mu(t)) - z(t-r_{1}-\mu_{M}) \right], \qquad (C.4)$$

$$-\mu_{M} \int_{t-r_{1}-\mu_{M}}^{t-r_{1}} e^{2\alpha(s-t)} \dot{z}^{T}(s) R_{0} \dot{z}(s) ds$$

$$\leq e^{-2\alpha(r_{1}+\tilde{\tau})} \left[ z(t-r_{1}-\mu_{M}) - z(t-r_{1}-\tilde{\tau}) \right]^{T} R_{1}$$

$$\times \left[ z(t-r_{1}-\mu_{M}) - z(t-r_{1}-\tilde{\tau}) \right]. \qquad (C.5)$$

By summing up (28) and (C.1)–(C.3) in view of (C.4), (C.5) we obtain  $\dot{V} + \alpha V \leq \xi^T \Xi \xi \leq 0$ , where  $\xi = \text{col}\{z(t), \dot{z}(t), z(t-r_1), z(t-r_2)\}$ 

 $r_1 - \mu(t)$ ,  $z(t - r_1 - \mu_M)$ ,  $z(t - r_1 - \tilde{\tau})$ ,  $e_3(t)$ . For  $t \in [t_k, t_k^*)$  the system (29) with  $\tau_4(t) \in [0, \mu_M)$  is described by (30) with  $e_3(t) = 0$  satisfying (28).

II. For  $t \in [t_k, t_k^*)$ ,  $\tau_{\Delta}(t) \in [\mu_M, \mu_M + h)$  we have

$$0 = 2 \left[ z^{T}(t) P_{2}^{T} + \dot{z}^{T}(t) P_{3}^{T} \right] \left[ -\dot{z}(t) + (A + BK)z(t) + e^{Ar_{1}} BKz(t - r_{1} - \tau_{4}(t)) - e^{Ar_{1}} BKz(t - r_{1}) \right].$$
 (C.6)

To compensate the term  $z(t - r_1 - \tau_4(t))$  using Jensen's inequality and Park's theorem we derive

$$-\mu_{M} \int_{t-r_{1}-\mu_{M}}^{t-r_{1}} e^{2\alpha(s-t)} \dot{z}^{T}(s) R_{0} \dot{z}(s) ds$$

$$\leq -e^{-2\alpha(r_{1}+\mu_{M})} \left[ z(t-r_{1}) - z(t-r_{1}-\mu_{M}) \right]^{T} R_{0}$$

$$\times \left[ z(t-r_{1}) - z(t-r_{1}-\mu_{M}) \right], \qquad (C.7)$$

$$-h \int_{t-r_{1}-\tilde{\tau}}^{t-r_{1}-\mu_{M}} e^{2\alpha(s-t)} \dot{z}^{T}(s) R_{1} \dot{z}(s) ds \leq -e^{-2\alpha(r_{1}+\tilde{\tau})}$$

$$\times \left[ z(t-r_{1}-\mu_{M}) - z(t-r_{1}-\tau_{4}(t)) \right]^{T} \left[ R_{1} \quad G_{1} \right]$$

$$\times \left[ z(t-r_{1}-\mu_{M}) - z(t-r_{1}-\tilde{\tau}) \right]^{T} \left[ C_{1}^{T} \quad R_{1} \right]$$

$$\times \left[ z(t-r_{1}-\mu_{M}) - z(t-r_{1}-\tau_{4}(t)) \right]. \qquad (C.8)$$

By summing up (C.1), (C.2), (C.6) in view of (C.7), (C.8) we obtain  $\dot{V} + 2\alpha V \le \eta^T \Sigma \eta \le 0$ , where  $\eta = \text{col}\{z(t), \dot{z}(t), z(t-r_1), z(t-r_2)\}$  $r_1 - \mu_M$ ,  $z(t - r_1 - \tau_4(t))$ ,  $z(t - r_1 - \tilde{\tau})$ .

Therefore, we obtain  $\dot{V} \leq -2\alpha V$  for  $t \geq r_1 + \tilde{\tau}$ . The end of the proof is similar to that of Lemma 1.

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