Synthesizing Sparse and Delay-Robust Distributed Secondary Frequency Controllers for Microgrids

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Abstract—Consensus-based control schemes experience increasing popularity in the context of secondary frequency control in microgrids. Fundamental aspects in their practical implementation are the design of the communication topology as well as robustness with respect to both time-varying communication delays and exogenous disturbances. Motivated by this, we propose a design procedure for a consensus-based secondary frequency controller that ensures robustness with respect to heterogeneous fast-varying communication delays and simultaneously provides the option to trade off the L_2 -gain performance against the number of required communication links. Our design criterion is equilibrium-independent and based on the Lyapunov-Krasovskii method for interval time-varying delays together with the descriptor method. The efficacy of the proposed approach is demonstrated by using numerical experiments on the CIGRE benchmark medium-voltage distribution network.

Index Terms—Consensus algorithms, distributed cooperative control, microgrid stability, microgrids, multiagent systems, secondary control, smart grid applications, time-delay systems.

I. INTRODUCTION

A. Motivation and Related Work

THE increasing penetration of economic and environmentally friendly renewable energy resources (RESs) introduces enormous challenges for conventional power systems operation and control [1]. Most RESs are small-scaled distributed generation (DG) units, which are connected to the medium-voltage (MV) and low-voltage (LV) levels via power electronics converters. Therefore, the replacement of a few bulk conventional fossil fuel-based power plants with a large number of small-scale DGs significantly increases the complexity of balancing demand and generation in real time. Clearly, in such a setting, centralized operating schemes are

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inappropriate, and instead, distributed architectures need to be developed.

One of the most promising approaches to ease the integration of DGs in the electrical grid is the microgrid (MG) concept [1], [2]. An MG is a small-scale power system, which is composed of a combination of DG units, energy storage devices, and loads at the distribution level. MGs can be either connected to the main grid through a point of common coupling (PCC) or operated autonomously, i.e., in islanded mode [1], [3]. Thus, future power systems could be operated as a cell structure of interconnected MGs [4].

In AC MGs, most of the RESs are dc sources, and therefore, dc/ac inverters are usually required to interface the generation units to an ac network. In such scenarios, it is important to recognize that the inverters' physical dynamics significantly differ from conventional synchronous generator dynamics [5] and the increasing integration of inverter-interfaced units results in a reduced system inertia. The latter facts imply that new concepts and strategies to control MGs and ensure their reliable and stable operation are needed. Thus, for this type of networks, many new control challenges arise. Among these, frequency regulation is a very fundamental operational objective [2], [6] to which this article is dedicated.

As in bulk power systems [7], in MGs, frequency regulation is typically realized via a hierarchical control layer consisting of primary, secondary, and tertiary control [8]. The primary controllers are usually implemented in a completely decentralized manner to ensure frequency stability and power sharing. However, a well-known drawback of primary control is a steady-state frequency deviation from its nominal value [8]. Thus, secondary frequency control action is required for frequency restoration. Finally, tertiary control is mainly concerned with energy management.

In conventional power systems, secondary frequency control is implemented using a centralized automatic generation control (AGC) [7]. However, due to the dispersed nature of generation units and the required operational flexibility, in MGs, usually, distributed algorithms are preferred for secondary control tasks. In particular, distributed consensus-based algorithms have gained increasing popularity for secondary frequency control in MGs in recent years [9]–[13]. Consensus protocols are distributed protocols, and peer-to-peer communication between participating units is essential for their implementation [14]. Consequently, the properties of the employed communication network, such as its topology or transmission delays, may severely affect the overall closed-loop MG

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dynamics and can even lead to instability [2]. In addition to communication uncertainties, the electrical dynamics of the MG is also continuously exposed to perturbations, e.g., in the power demand. Therefore, these three aspects (shape of the communication topology, delay robustness, and disturbance attenuation) should be considered already at the design stage of any distributed frequency controller.

Delay robustness of consensus-based secondary controllers has been investigated in [15]–[19], but the analysis is either limited to a linearized (small-signal) model or does not consider the electrical dynamics and is partially restricted to constant delays. In [20], stability conditions for a distributed averaging secondary frequency controller have been derived under consideration of fast-varying time delays and a dynamic communication topology, but the (nominal) communication topology is assumed to be fixed *a priori* and no external perturbations are considered.

Bounded input-output performance of linearized models of secondary controlled MGs has been considered using the \mathcal{H}_2 -norm in [13] and the \mathcal{H}_∞ -norm in [21], [22]. A very similar setup for bulk power systems with distributed frequency control is employed in [12], where in addition to minimizing the \mathcal{H}_2 -norm also sparsity of the communication network is promoted. The interaction between the cyber and physical layers of a related primal-dual distributed secondary control scheme has recently been explored in [23] by using a linearized power system model with uniform inertia and damping coefficients.

In summary, the aspects of delay robustness, disturbance attenuation, and communication topology design have to some extent been considered in the literature, but mainly on an individual basis and by using linearized MG models. In particular, there are no available approaches, which jointly address all three aspects. Yet, clearly, from a practical point of view, the development of holistic design criteria, which consider the physical and cyber layers of the system, is highly desirable to further facilitate a robust and efficient implementation of consensus-based secondary controllers in MGs. This motivates the work in this article.

B. Contributions

As a consequence of the above discussion, the main contribution in this article is a synthesis for distributed secondary frequency controllers in MGs in the form of a convex optimization problem with linear matrix inequality (LMI) constraints. The derived design criterion guarantees robustness with respect to time-varying heterogeneous communication delays while providing the option to shape the closed-loop performance by trading off the L_2 -gain performance and the number of communication links.

The controller synthesis is developed for a nonlinear MG model and, as common in sampled-data networked control systems [24]–[26], accounts for heterogeneous time-varying communication delays. Thereby, we model the delays as interval time-varying delays, i.e., assuming nonzero constant upper and lower bounds [26]. This is in contrast to our previous related work [20], [27], where a lower delay bound

of zero is assumed, and represents a more accurate delay model since any signal transmitted over a communication network experiences a minimum delay. In addition, we also show via numerical examples that modeling the delays as interval time-varying delays can lead to less conservative results compared to those reported in [27]. With regard to external disturbances, the proposed design procedure ensures disturbance attenuation by minimizing the upper bound of the L_2 -gain, which we recall is defined as the maximum energy amplification ratio of the system and the counterpart to the \mathcal{H}_{∞} -norm for nonlinear systems [26], [28].

In addition to disturbance and delay robustness another highly relevant aspect in the control design is considered in our synthesis, namely, the choice of the communication topology. Besides [12], in all other work on consensus-based frequency control, see [13], [15]-[22], merely a connected communication graph is assumed, but no further recommendations on how the actual communication topology should be chosen are made. However, this is a crucial facet in any practical implementation. Of particular interest is to quantify the benefits arising from increasing the number of communication links beyond a merely connected topology. Therefore, inspired by related work on sparsity-promoting control for bulk power systems [12], [29]–[31], we integrate this aspect in our synthesis by employing the (re)weighted ℓ_1 -norm as a proxy for the sparsity of the communication network [32]. The achievable benefit is quantified in terms of the L_2 -gain performance of the closed-loop system.

Our design criterion is derived based on the Lyapunov– Krasovskii (LK) and the descriptor methods [25], [26]. We remark that, compared with the related work [20], combining the descriptor method with the LK method is essential to be able to obtain a controller synthesis in terms of LMIs, which can be evaluated with efficient numerical methods [33]. Furthermore, compared with a design based on linearization, our criterion does not require prior knowledge of the operating point [besides the usual requirement that the stationary angle differences do not exceed $|(\pi/2|)]$. Thus, the performance properties provided by our design hold true in a wide range of operating conditions.

Another important contribution in this article is to illustrate the proposed synthesis using a two-step design study on the CIGRE benchmark MV distribution network [34]. In particular, we demonstrate how the weighting coefficients in the cost function can be used to shape the sparsity of the controller as well as the robustness and convergence speed of the resulting closed-loop system.

The main advances of this article compared with our previous work [27] are as follows. We consider heterogeneous fast-varying communication delays rather than a uniform delay and model them as interval ones. As shown in the numerical examples, this allows us to reduce the conservativeness of our stability conditions but also requires the development of a new Lyapunov–Krasovskii functional (LKF). Furthermore, we provide extensive numerical experiments, including an investigation on the relationship between the sparsity pattern of the network Laplacian matrix and the main weighting matrix in the secondary frequency controller. The remainder of this article is structured as follows. In Section II, we recall some preliminaries on the L_2 -gain of dissipative systems and graph theory. The MG model and the consensus-based secondary control law are introduced in Section III. In Section IV, we propose a controller synthesis ensuring robustness with respect to heterogeneous fast-varying delays as well as disturbance rejection while minimizing the number of communication links. A numerical example to demonstrate the effectiveness of the approach is given in Section V. A brief summary and topics of future work are provided in Section VI.

Notation: We define the sets $\mathbb{R}_{\geq 0} := \{x \in \mathbb{R} | x \geq 0\}$, $\mathbb{R}_{>0} := \{x \in \mathbb{R} | x > 0\}$, and $\mathbb{R}_{<0} := \{x \in \mathbb{R} | x < 0\}$. For a set $\mathcal{V}, |\mathcal{V}|$ denotes its cardinality and $[\mathcal{V}]^k$ denotes the set of all subsets of \mathcal{V} that contain k elements. Let $x := \operatorname{col}(x_i) \in \mathbb{R}^n$ denote a vector with entries x_i for $i = 1, \ldots, n, \mathbb{1}_n$ denote the vector with all entries equal to one, I_n denote the $n \times n$ identity matrix, \mathbb{O} denote a zero matrix of appropriate dimensions, and diag $(a_i), i = 1, \ldots, n,$ an $n \times n$ diagonal matrix with diagonal entries $a_i \in \mathbb{R}$. For $A \in \mathbb{R}^{n \times n}$, A > 0 (A < 0) means that A is symmetric positive (negative) definite. The lower diagonal elements of a symmetric matrix are denoted by *. The Euclidean norm of a vector $x \in \mathbb{R}^n$ is denoted by $||x||_2$. We denote by $W[-h, 0], h \in \mathbb{R}_{>0}$, the Banach space of absolutely continuous functions $\phi : [-h, 0] \to \mathbb{R}^n$, $h \in \mathbb{R}_{>0}$, with $\dot{\phi} \in L_2(-h, 0)^n$ and with the norm $||\phi||_W = \max_{\theta \in [a,b]} |\phi(\theta)| + \left(\int_{-h}^0 \dot{\phi}^2 d\theta\right)^{0.5}$. Also, ∇f denotes the gradient of a function $f : \mathbb{R}^n \to \mathbb{R}$.

II. PRELIMINARIES

A. L₂-Gain of Dissipative Systems

We briefly recall some standard results on dissipative systems based on [28], [35]. Consider the state-space system

$$\Sigma : \begin{cases} \dot{x} = f(x, u) \\ y = h(x, u) \end{cases}$$
(II.1)

with $x \in \mathbb{R}^n$, $u \in \mathbb{R}^m$, and $y \in \mathbb{R}^p$.

A signal $u : \mathbb{R}_{\geq 0} \to \mathbb{R}^m$ is in L_2 if its L_2 -norm $||u||_{L_2}$, given by

$$||u||_{L_2} = \sqrt{\int_0^\infty u^\top(t) \ u(t)dt}$$

is finite. The extended L_2 -space L_{2e} is defined by

$$L_{2e} = \{ u \mid u_{\tau} \in L_2 \,\forall \tau \in [0, \infty) \}$$

where $u_{\tau}, \tau \in [0, \infty)$, is the truncation of *u* defined by

$$u_{\tau} = \begin{cases} u(t), & 0 \le t \le \tau \\ 0, & t > \tau. \end{cases}$$

We employ the following notions.

Definition 1: The state-space system Σ is said to have finite L_2 -gain if there exist finite nonnegative constants γ and b, such that for all $\tau \ge 0$ and for all $u \in L_{2e}$

$$||y_{\tau}||_{L_2} \leq \gamma ||u_{\tau}||_{L_2} + b$$

Definition 2: The state-space system Σ is dissipative with respect to the supply rate $s : \mathbb{R}^m \times \mathbb{R}^q \to \mathbb{R}$ if there exists a function $S : \mathbb{R}^n \to \mathbb{R}_{\geq 0}$, called the storage function, such that for all $t_1 \geq t_0$ and all input functions u

$$S(x(t_1)) \le S(x(t_0)) + \int_{t_0}^{t_1} s(u(t), y(t)) dt$$

Definition 3: The state-space system Σ has a L_2 -gain less than or equal to γ if it is dissipative with respect to the supply rate $s(u, y) = (1/2)(\gamma^2 ||u||_2^2 - ||y||_2^2)$. The L_2 -gain of Σ is defined as $\gamma(\Sigma) = \inf\{\gamma \mid \Sigma \text{ has } L_2\text{-gain} \leq \gamma\}$.

Based on [28, Definition 6.2], we employ the following notion of a small-signal L_2 -gain.

Definition 4: The state-space system Σ has a small-signal L_2 -gain less than or equal to γ if it is dissipative with respect to the supply rate $s(u, y) = (1/2)(\gamma^2 ||u||_2^2 - ||y||_2^2)$ for all $u \in L_2^m$ with $\sup_{0 \le t \le \tau} ||u_\tau||_2 \le r$ for some positive real constant r.

B. Algebraic Graph Theory

An undirected weighted graph of order *n* is a triple $\mathcal{G} = (\mathcal{V}, \mathcal{E}, z)$, with set of nodes $\mathcal{V} = \{1, \ldots, n\}$, set of undirected edges $\mathcal{E} \subseteq [\mathcal{V}]^2$, $\mathcal{E} = \{e_1, \ldots, e_m\}$, $m = |\mathcal{E}|$, and weight function $z : \mathcal{E} \to \mathbb{R}_{\geq 0}$. By associating an arbitrary ordering to the edges, the node-edge incidence matrix $\mathcal{B} \in \mathbb{R}^{|\mathcal{V}| \times |\mathcal{E}|}$ of an undirected graph is defined elementwise as $b_{il} = 1$, if node *i* is the source of the *l*th edge e_l , $b_{il} = -1$, if *i* is the sink of e_l and $b_{il} = 0$ otherwise. The Laplacian matrix of an undirected weighted graph is given by [36], [37]

$$\mathcal{L} = \mathcal{BZB}^{+}, \quad \mathcal{Z} = \operatorname{diag}(z_l)$$
 (II.2)

where $z_l \ge 0$ is the weight of the *l*th edge, l = 1, ..., m. An ordered sequence of nodes such that any pair of consecutive nodes in the sequence is connected by an edge is called a path. A graph \mathcal{G} is called connected if for all pairs $\{i, k\} \in [\mathcal{V}]^2$, there exists a path from *i* to *k*. The Laplacian matrix \mathcal{L} of an undirected graph is positive semidefinite with a simple zero eigenvalue if and only if the graph is connected. The corresponding right eigenvector to this simple zero eigenvalue is $\mathbb{1}_n$, i.e., $\mathcal{L}\mathbb{1}_n = \mathbb{0}_n$ [37]. We refer the reader to [36], [37] for further information on graph theory.

III. MICROGRID MODEL WITH DISTRIBUTED SECONDARY FREQUENCY CONTROL AND HETEROGENEOUS TIME DELAYS

A. Microgrid Model

We consider a MG with mixed generation pool consisting of rotational and electronic interfaced units. Following the standard practice, we assume that a Kron-reduction has been carried out [7] and denote the set of network nodes by $\mathcal{N} = \{1, \ldots, n\}, n \geq 1$. Furthermore, we assign a phase angle $\theta_i : \mathbb{R}_{\geq 0} \to \mathbb{R}$ and a frequency $\omega_i = \dot{\theta}_i$ to each unit in the MG, $i \in \mathcal{N}$. In addition, as usual when considering secondary frequency control [9], [20], we assume constant voltage amplitudes $V_i \in \mathbb{R}_{>0}, i \in \mathcal{N}$, and a lossless network. The latter assumption is acceptable whenever the inverter in

 B_i

{*k*

an

a 1

of nonnegative edge weights \mathcal{Z} [see (II.2)]. Consider the distributed secondary frequency control [9], [20], [40]

in particular applies to MV MGs [38]. With these assumptions,
two nodes *i* and *k* are connected via a nonzero susceptance
$$B_{ik} \in \mathbb{R}_{<0}$$
. If there is no line between *i* and *k*, then $B_{ik} = 0$.
We denote the set of neighboring nodes of node *i* by $\mathcal{N}_i = \{k \in \mathcal{N} | B_{ik} = 0\}$. Furthermore, we assume a connected
electrical network, i.e., we assume that for all $\{i, k\} \in [\mathcal{N}]^2$,
there exists an ordered sequence of nodes from *i* to *k* such that
any pair of consecutive nodes in the sequence is connected by
a power line represented by an admittance.

output (and transformer) impedance is highly inductive, which

It is convenient to define the vectors $\theta = col(\theta_i)$ and $\omega = \operatorname{col}(\omega_i)$ as well as the potential function $U : \mathbb{R}^n \to \mathbb{R}$,

$$U(\theta) = -\sum_{\{i,k\}\in[\mathcal{N}]^2} |B_{ik}| V_i V_k \cos(\theta_{ik}).$$

Then, the active power flows $P : \mathbb{R}^n \to \mathbb{R}^n$ can be compactly written as

$$P(\theta) = \nabla U(\theta).$$

With regard to primary control, we assume that all units are equipped with the standard frequency droop controller [6], [7], [39]. Then, the MG dynamics are given by [38], [39]

$$\dot{\theta} = \omega$$

 $M\dot{\omega} = -D(\omega - \mathbb{1}_n \omega^d) - \nabla U(\theta) + P^{\text{net}} + u$ (III.1)

where $D = \text{diag}(D_i) \in \mathbb{R}^n_{>0}$ is the matrix of (inverse) droop coefficients, $\omega^d \in \mathbb{R}_{>0}$ is the reference frequency, and $u: \mathbb{R}_{>0} \to \mathbb{R}^n$ is the secondary frequency control input. Moreover, the matrix of (virtual) inertia coefficients is given by $M = \text{diag}(M_i) \in \mathbb{R}^n_{>0}$, where for any inverter-interfaced unit $M_i = \tau_{p_i} D_i$ with $\tau_{p_i} \in \mathbb{R}_{>0}$ being the time constant of the power measurement filter. In addition, P^{net} is given by $P^{\text{net}} = \text{col}(P_i^d - G_{ii}V_i^2)$, where $P_i^d \in \mathbb{R}$ denotes the active power set point and $G_{ii}V_i^2$, $G_{ii} \in \mathbb{R}_{\geq 0}$, represents the active power demand at the ith node (see [3] for further details on the modeling of the system components).

B. Secondary Frequency Control: Objectives and Distributed Control Scheme

Suppose that the solutions of the system (III.1) evolve along a motion with constant frequency $\omega^s = \mathbb{1}_n \omega^*, \ \omega^* \in \mathbb{R}$. Then

$$\mathbb{1}_{n}^{\top}M\dot{\omega}^{s} = 0 \quad \Rightarrow \quad \omega^{*} = \omega^{d} + \frac{\mathbb{1}_{n}^{\top}P^{\text{net}} + \mathbb{1}_{n}^{\top}u^{*}}{\mathbb{1}_{n}^{\top}D\mathbb{1}_{n}} \qquad \text{(III.2)}$$

where we have used the fact that $\mathbb{1}_n^\top \nabla U(\theta) = 0$. A standard requirement in power system operation is that $\omega^* =$ ω^d , i.e., the network synchronizes to the nominal frequency [6], [7]. However, in practice, the load demands $G_{ii}V_i^2$ contained in P^{net} are unknown and thus, typically, $\mathbb{1}_n^\top P^{\text{net}} \neq 0$. Therefore, the control inputs u^* have the task to compensate for this power imbalance such that indeed $\omega^* = \omega^d$ [see (III.2)]. This task is termed secondary frequency control [6], [7].

Let $A \in \mathbb{R}_{>0}^{n \times n}$ be a diagonal positive-definite weighting matrix, $K \in \mathbb{R}_{>0}^{n \times n}$ be a diagonal feedback gain matrix, and $\mathcal{L} \in \mathbb{R}^{n \times n}$ be the Laplacian matrix of an undirected and connected graph with incidence matrix \mathcal{B} and diagonal matrix

$$u = -p$$

$$\dot{p} = K(\omega - \mathbb{1}_n \omega^d) - KA\mathcal{L}Ap.$$
(III.3)

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Due to its distributed nature, the secondary control law (III.3) is prone to be affected by communication delays [2], [24]. Moreover, in a practical communication-based conrol, there will always be a certain minimum communication delay between different agents, i.e., in our case generation units [41]. As a consequence of this fact, we model the delay, which affects the information sent from node i to node k over the edge $\{i, k\}$, by an interval (or nonsmall) delay [26]

$$\tau_{ik}:\mathbb{R}_{>0}\to[h_{0_{ik}},h_{1_{ik}}]$$

with upper and lower bounds $0 < h_{0_{ik}} \leq h_{1_{ik}}$. In addition, our subsequent analysis also accounts for asymmetric delays, i.e., $\tau_{ik} \neq \tau_{ki}$. Furthermore, as is shown via numerical examples in Section V, modeling the delays as interval time-varying delays also permits to reduce the conservativeness of our conditions compared with those reported in our previous work [27], where we assumed a uniform delay with a lower bound of zero, i.e., $h_{0_{ik}} = 0$. The corresponding control error e_{ik} is then computed as [14], [42]

$$e_{ik}(t) = A_{ii} p_i(t - \tau_{ik}(t)) - A_{kk} p_k(t - \tau_{ik}(t)).$$
(III.4)

As standard practice in sampled-data systems [25], [26], the delay τ_{ik} can be piecewise continuous in t and fast-varying, i.e., no restrictions on the existence, continuity, or boundedness of $\dot{\tau}_{ik}(t)$ are imposed.

In order to obtain a compact representation of the closed-loop system, we introduce the matrices $\bar{\mathcal{B}}_r \in \mathbb{R}^{|\mathcal{V}| \times |\mathcal{E}|}$, $r = 1, \dots, 2m$, where $m = |\mathcal{E}|$ is the number of edges of the undirected graph. Since we allow for $\tau_{ik}(t) \neq \tau_{ki}(t)$, we require 2m matrices \bar{B}_r to represent all delayed information flows in the network. The matrices $\bar{\mathcal{B}}_r$ are defined as follows. If node *i* is the source of the *r*th edge $\{i, k\}$ and the information flow is affected by the delay $\tau_r(t) = \tau_{ik}(t)$, then $\bar{b}_{ri} = 1$ and all other entries of \bar{B}_r are zero. If node *i* is the sink of the *r*th edge $\{i, k\}$ and the information flow is affected by the delay $\tau_r(t) = \tau_{ik}(t)$, then $\bar{b}_{ri} = -1$ and all other entries of \bar{B}_r are zero. Hence

$$\sum_{r=1}^{2m} \bar{\mathcal{B}}_r \mathcal{Z} \mathcal{B}^\top = \mathcal{L}$$

and by introducing

$$\mathcal{T}_r = \bar{\mathcal{B}}_r \mathcal{Z} \mathcal{B}^\top \tag{III.5}$$

the control law in (III.3) can be written compactly as

$$\dot{p} = K(\omega - \mathbb{1}_n \omega^d) - KA\left(\sum_{r=1}^{2m} \mathcal{T}_r A p(t - \tau_r)\right). \quad \text{(III.6)}$$

It has been shown in [40], [43], [44], that in addition to being able to restore the frequency to its nominal value, the control (III.6) also ensures economic optimality in a synchronized state, i.e.,

$$A_{ii}u_i^s = A_{kk}u_k^s \quad \forall i \in \mathcal{N} \quad \forall k \in \mathcal{N}.$$

Thus, usually the matrix *A* is fixed by economic considerations.

Hence, given (III.6), the distributed secondary control design problem consists of suitably determining the matrices K and \mathcal{Z} . This problem is addressed in this article.

C. Closed-Loop System

Combining (III.1) with (III.6) yields

$$\begin{aligned} \dot{\theta} &= \omega \\ M\dot{\omega} &= -D(\omega - \mathbb{1}_n \omega^d) - \nabla U(\theta) + P^{\text{net}} - p \\ \dot{p} &= K(\omega - \mathbb{1}_n \omega^d) - KA \left(\sum_{r=1}^{2m} \mathcal{T}_r A p(t - \tau_r) \right). \end{aligned}$$
(III.7)

For the subsequent controller synthesis, the following notion is useful (see also [20], [38]).

Definition 5: The system (III.7) admits a synchronized motion if it has a solution for all $t \ge 0$ of the form

$$\theta^{s}(t) = \theta_{0}^{s} + \omega^{s}t, \quad \omega^{s} = \omega^{*}\mathbb{1}_{n} \quad p^{s} \in \mathbb{R}^{n}$$

where $\omega^* \in \mathbb{R}$ and $\theta_0^s \in \mathbb{R}^n$ are such that

$$|\theta_{0,i}^s - \theta_{0,k}^s| < \frac{\pi}{2} \quad \forall i \in \mathcal{N} \; \forall k \in \mathcal{N}_i$$

We note that the system (III.7) possesses at most one synchronized motion and that this motion satisfies [40], [43], [44]

$$u^{s} = -p^{s}, \quad p^{s} = \lambda A^{-1} \mathbb{1}_{n}, \quad \lambda = \frac{\mathbb{1}_{n}^{\top} P^{\text{net}}}{\mathbb{1}_{n}^{\top} A^{-1} \mathbb{1}_{n}}.$$
 (III.8)

IV. CONTROLLER SYNTHESIS

A. Coordinate Transformation and Error System

We perform both a coordinate transformation and reduction that are instrumental to our synthesis. Let $K = \kappa \mathcal{K}$, where $\mathcal{K} \in \mathbb{R}^{n \times n}$ is a diagonal matrix with positive diagonal entries and $\kappa > 0$ is a parameter. Note that the fact that $\sum_{r=1}^{2m} \mathcal{T}_r \mathbb{1}_n = \mathcal{L} \mathbb{1}_n = \mathbb{0}_n$ leads to an invariant subspace in the *p*-variables, which highly complicates the design of a strict LKF for the dynamics (III.7). Thus, to develop the controller synthesis in the presence of fast-varying delays, the following coordinate transformation with $\bar{p} \in \mathbb{R}^{n-1}$ and $\zeta \in \mathbb{R}$ is employed to eliminate this invariant subspace

$$\begin{bmatrix} \bar{p} \\ \zeta \end{bmatrix} = \mathcal{W}^{\top}(\kappa \mathcal{K})^{-\frac{1}{2}}p, \quad \mathcal{W} = \begin{bmatrix} W \ \frac{1}{\sqrt{\mu}}\mathcal{K}^{-\frac{1}{2}}A^{-1}\mathbb{1}_n \end{bmatrix} \quad (IV.1)$$

where $W \in \mathbb{R}^{n \times (n-1)}$ is chosen such that $W^{\top} \mathcal{K}^{-(1/2)} A^{-1} \mathbb{1}_n = \mathbb{O}_{n-1}$, and $\mu = \|\mathcal{K}^{-(1/2)} A^{-1} \mathbb{1}_n\|_2^2$. Hence, the column vectors of W form an orthonormal basis that is orthogonal to $\mathcal{K}^{-(1/2)} A^{-1} \mathbb{1}_n$ and, thus, the transformation matrix $\mathcal{W} \in \mathbb{R}^{n \times n}$ is orthogonal, that is

$$\mathcal{W}\mathcal{W}^{\top} = WW^{\top} + \frac{1}{\mu}\mathcal{K}^{-\frac{1}{2}}A^{-1}\mathbb{1}_n\mathbb{1}_n^{\top}\mathcal{K}^{-\frac{1}{2}}A^{-1} = I_n. \quad (IV.2)$$

From (IV.1), we have that

$$\zeta = \frac{\kappa^{-\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_n^\top A^{-1} \mathcal{K}^{-1} p.$$
 (IV.3)

By using (III.7) together with the fact $\sum_{r=1}^{2m} T_r \mathbb{1}_n = \mathbb{0}_n$, we obtain

$$\dot{\zeta} = \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_n^\top A^{-1}(\omega - \mathbb{1}_n \omega^d)$$

which by integrating with respect to time and recalling (IV.3) yields

$$\zeta = \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_{n}^{\top} A^{-1} (\theta - \theta_{0} - \mathbb{1}_{n} \omega^{d} t + \kappa^{-1} \mathcal{K}^{-1} p_{0})$$
$$= \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_{n}^{\top} A^{-1} (\theta - \mathbb{1}_{n} \omega^{d} t) + \bar{\zeta}_{0}$$
(IV.4)

where

$$\bar{\zeta}_0 = \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_n^\top A^{-1} \big(\kappa^{-1} \mathcal{K}^{-1} p_0 - \theta_0 \big).$$
 (IV.5)

Thus

$$p = (\kappa \mathcal{K})^{\frac{1}{2}} W \bar{p} + \frac{1}{\sqrt{\mu}} (\kappa \mathcal{K})^{\frac{1}{2}} \mathcal{K}^{-\frac{1}{2}} A^{-1} \mathbb{1}_n \zeta,$$

$$= (\kappa \mathcal{K})^{\frac{1}{2}} W \bar{p} + \frac{\kappa}{\mu} A^{-1} \mathbb{1}_n \mathbb{1}_n^\top A^{-1} (\theta - \mathbb{1}_n \omega^d t)$$

$$+ \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} A^{-1} \mathbb{1}_n \bar{\zeta}_0$$

and

$$p(t-\tau_r) = (\kappa \mathcal{K})^{\frac{1}{2}} W \bar{p}(t-\tau_r) + \frac{1}{\sqrt{\mu}} (\kappa \mathcal{K})^{\frac{1}{2}} \mathcal{K}^{-\frac{1}{2}} A^{-1} \mathbb{1}_n \zeta(t-\tau_r)$$

r = 1, ..., 2m. By using (IV.1) and following the procedure in [20, Sec. 3.2], we can represent the closed-loop system (III.7) in new reduced order coordinates by

$$\begin{split} \dot{\theta} &= \omega \\ M\dot{\omega} &= -D(\omega - \mathbb{1}_n \omega^d) + P^{\text{net}} - \nabla U(\theta) - (\kappa \mathcal{K})^{\frac{1}{2}} W \bar{p} \\ &- \frac{\kappa}{\mu} A^{-1} \mathbb{1}_n \mathbb{1}_n^\top A^{-1}(\theta - \mathbb{1}_n \omega^d t) - \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} A^{-1} \mathbb{1}_n \bar{\zeta}_0 \\ \dot{\bar{p}} &= \kappa^{\frac{1}{2}} W^\top \mathcal{K}^{\frac{1}{2}}(\omega - \mathbb{1}_n \omega^d) \\ &- \kappa W^\top \mathcal{K}^{\frac{1}{2}} A \left(\sum_{r=1}^{2m} \mathcal{T}_r A \mathcal{K}^{\frac{1}{2}} W \bar{p}(t - \tau_r) \right) \end{split}$$
(IV.6)

where we have expressed the variable ζ in (IV.1) in terms of θ , ω^d , θ_0 , and p_0 [see (IV.4)].

We make the following standard assumption [20], [38].

Assumption 6: The system (IV.6) possesses a synchronized motion.

With Assumption 6, we define the error states

$$\tilde{\omega} = \omega - \omega^{s}, \quad \tilde{\theta} = \theta_{0} - \theta_{0}^{s} + \int_{0}^{t} \tilde{\omega}(\tau) d\tau$$
$$\tilde{p} = \bar{p} - \bar{p}^{s}, \quad x = \operatorname{col}(\tilde{\theta}, \tilde{\omega}, \tilde{p}).$$

Furthermore, for the L_2 -gain performance in the controller synthesis, we assume that both the communication and electrical layers are exposed to disturbances $d_{\omega} : \mathbb{R}_{\geq 0} \to \mathbb{R}^n$, $d_{\omega} \in L_{2e}^n$ and $d_p : \mathbb{R}_{\geq 0} \to \mathbb{R}^{n-1}$, $d_P \in L_{2e}^{n-1}$, respectively,

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and, inspired by [12], define the performance output of the closed-loop system as

$$y = \begin{bmatrix} W_1^{\frac{1}{2}} \tilde{\omega} \\ W_2^{\frac{1}{2}} \tilde{p} \end{bmatrix}$$

where the weighting matrix

$$W_1 = M > 0 \tag{IV.7}$$

accounts for the system's kinetic energy and the matrix

$$W_{2} = W^{\top} \mathcal{K}^{\frac{1}{2}} \bar{W}_{2} \mathcal{K}^{\frac{1}{2}} W$$

$$\bar{W}_{2} = I_{n} - \frac{1}{\mathbb{1}_{n}^{\top} A^{-1} \mathbb{1}_{n}} A^{-\frac{1}{2}} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-\frac{1}{2}}$$
(IV.8)

quantifies the deviation of the controller states (in error coordinates) from their average [scaled by $\kappa^{-1}A^{(1/2)}$].

Then, the error system corresponding to (IV.6) is given by

$$\begin{split} \dot{\tilde{\theta}} &= \tilde{\omega} \\ M\dot{\tilde{\omega}} &= -D\tilde{\omega} - \nabla U(\tilde{\theta} + \theta^{s}) + \nabla U(\theta^{s}) - (\kappa \mathcal{K})^{\frac{1}{2}} W \tilde{p} \\ &- \frac{1}{\mu} \kappa A^{-1} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} + d_{\omega} \\ \dot{\tilde{p}} &= \kappa^{\frac{1}{2}} W^{\top} \mathcal{K}^{\frac{1}{2}} \tilde{\omega} \\ &- \kappa W^{\top} \mathcal{K}^{\frac{1}{2}} A \Biggl(\sum_{r=1}^{2m} \mathcal{T}_{r} A \mathcal{K}^{\frac{1}{2}} W \tilde{p}(t - \tau_{r}) \Biggr) + d_{p} \\ y &= \Biggl[\frac{W_{1}^{\frac{1}{2}} \tilde{\omega}}{W_{2}^{\frac{1}{2}} \tilde{p}} \Biggr], \quad d = \Biggl[\frac{d_{\omega}}{d_{p}} \Biggr]. \end{split}$$
(IV.9)

Moreover, with Assumption 6, the system (IV.9) has an equilibrium point $x^s = \operatorname{col}(\tilde{\theta}^s, \tilde{\omega}^s, \tilde{p}^s)$ at the origin. Recall that ω^s and p^s are uniquely given by (III.8). Hence, for any fixed $\bar{\zeta}_0$, asymptotic stability of x^s implies that any solution $\operatorname{col}(\theta, \omega, p)$ of the original system (III.7) with an initial condition that satisfies

$$\bar{\zeta}_0 = \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_n^\top A^{-1} \left(\kappa^{-1} \mathcal{K}^{-1} p_0 - \theta_0 \right)$$

converges to a synchronized motion $col(\theta^s, \omega^s, p^s)$ with initial angles satisfying

$$\bar{\zeta}_0 = \frac{\kappa^{\frac{1}{2}}}{\sqrt{\mu}} \mathbb{1}_n^\top A^{-1} \left(\kappa^{-1} \mathcal{K}^{-1} p^s - \theta_0^s \right).$$

This applies for any value of ξ_0 . Moreover, the dynamics in (IV.9) are independent of ξ_0 . Consequently, x^s being asymptotically stable implies that all solutions of the original system (III.7) converge to a synchronized motion.

B. Problem Statement

As outlined in Section I, we seek to develop a design procedure for the consensus-based secondary frequency controller (III.6) that ensures robustness with respect to heterogeneous fast-varying communication delays and simultaneously provides the option to trade off L_2 -gain performance against the number of required communication links. The desired robustness properties are accounted for in our approach by using the LK and descriptor methods together with an L_2 -gain dissipation inequality for time-delay systems (see Definition 2 and [26, Ch. 4 and 5]). Compared to [26], we apply these methods to the nonlinear system (IV.9).

The number of communication links could be minimized by means of the 0-norm of the vector $\mathcal{Z}\mathbb{1}_m$, i.e., $\|\mathcal{Z}\mathbb{1}_m\|_0 = \{\text{number of } z_i | z_i \neq 0\}$ (recall from (II.2) that $\mathcal{Z} \ge 0$ is a diagonal matrix). Yet, the difficulty in using this approach is that the resulting optimization problem is nonconvex. Hence, to overcome the nonconvexity, we follow [29], [31], [32] and use the ℓ_1 -norm $\|\mathcal{Z}\mathbb{1}_m\|_1 = \sum_{i=1}^m |z_i|$ as a convex relaxation of the 0-norm. This is motivated by the fact the ℓ_1 -norm is the convex envelope of the 0-norm and therefore its best convex relaxation [31], [32]. To further improve this relaxation, the reweighted ℓ_1 -norm $\|W_Z \mathbb{Z}\mathbb{1}_m\|_1$ can be used [32], where the diagonal entries of the diagonal matrix W_Z are chosen as

$$w_{\mathcal{Z},i} = (z_i + v)^{-1}, \quad i = 1, \dots, m$$
 (IV.10)

with v being a small positive number. This, however, implies that an iteration scheme is needed since the assigned values of the weighting matrix W_Z depend on the solution of the optimization problem. Alternatively, in the MG case, power system engineering insights could be used to determine the weighting matrix W_Z (see also [31]).

The above discussion leads to the following problem formulation.

Problem 7: Consider the system (IV.9) with Assumption 6. Determine κ and \mathcal{Z} such that given $h_{0_r} \in \mathbb{R}_{>0}$, $h_{1_r} \in \mathbb{R}_{>0}$ with $h_{0_r} \leq \tau_r(t) \leq h_{1_r}$, r = 1, ..., 2m, the following holds.

- 1) $x^s = \mathbb{O}_{3n-1}$ is a uniformly asymptotically stable equilibrium point of the system (IV.9).
- 2) The system (IV.9) is dissipative with respect to the supply rate $s(d, y) = (1/2)(\gamma^2 ||d||_2^2 ||y||_2^2)$, where d and y are given in (IV.9),
- The number of communication links is minimized, i.e., min_{Z>0} trace(Z).

C. Main Result

To present our main result, it is convenient to introduce the scaled matrix of edge weights and the corresponding scaled interconnection matrices of the communication network, that is

$$\bar{\mathcal{Z}} = \kappa \mathcal{Z}, \quad \bar{\mathcal{T}}_r = W^\top \mathcal{K}^{\frac{1}{2}} A \bar{\mathcal{B}}_r \bar{\mathcal{Z}} \mathcal{B}^\top A \mathcal{K}^{\frac{1}{2}} W.$$
 (IV.11)

Our main result is the following solution to Problem 7.

Theorem 8: Consider the system (IV.9) with Assumption 6. Recall the weighting matrices W_1 and W_2 given in (IV.7) and (IV.8), respectively. Fix constants $0 < h_{0_r} \le h_{1_r}$, $r = 1, \ldots, 2m$, $\mathcal{K} > 0$, and $\varepsilon > 0$ as well as weighting parameters $\alpha > 0$ and $\beta > 0$ and a diagonal weighting matrix $W_{\mathcal{Z}} > 0$. Suppose that there exist parameters $\overline{\gamma} > 0$ and $\overline{\kappa} > 0$ and matrices $\overline{\mathcal{Z}} \ge 0$, P > 0, $R_{0_r} > 0$, $R_{1_r} > 0$, $S_{0_r} > 0$, $S_{1_r} > 0$, and S_{12_r} such that the following optimization ALGHAMDI et al.: SYNTHESIZING SPARSE AND DELAY-ROBUST DISTRIBUTED SECONDARY FREQUENCY CONTROLLERS FOR MICROGRIDS

problem is feasible:

$$\min_{\bar{y},\bar{\kappa},\bar{\mathcal{Z}}} \alpha \, \bar{y} - \beta \, \bar{\kappa} + \operatorname{trace}(W_{\mathcal{Z}}\bar{\mathcal{Z}}) \\
\text{s.t. } \mathcal{Q} = \begin{bmatrix} Q_{11} & 0 & Q_{13} & 0 & 0 & 0 & \frac{1}{2}I_n & 0 \\ * & Q_{22} & Q_{23} & Q_{24} & Q_{25} & 0 & 0 & \frac{1}{2}I_{n-1} \\ * & * & Q_{33} & 0 & Q_{35} & 0 & 0 & \frac{\varepsilon}{2}I_{n-1} \\ * & * & * & Q_{44} & Q_{45} & S_{12} & 0 & 0 \\ * & * & * & * & * & Q_{55} & Q_{56} & 0 & 0 \\ * & * & * & * & * & * & Q_{66} & 0 & 0 \\ * & * & * & * & * & * & * & Q_{77} & 0 \\ * & * & * & * & * & * & * & * & Q_{88} \end{bmatrix} < 0 \quad (IV.12)$$

where

$$S_{0} = \text{blockdiag}(S_{0_{r}}), \quad R_{0} = \text{blockdiag}(R_{0_{r}})$$

$$S_{1} = \text{blockdiag}(S_{1_{r}}), \quad R_{1} = \text{blockdiag}(R_{1_{r}})$$

$$S_{12} = \text{blockdiag}(S_{12_{r}}), \quad Q_{11} = -D + \frac{1}{2}W_{1}$$

$$Q_{13} = \frac{1}{2}\varepsilon\bar{\kappa}\mathcal{K}^{\frac{1}{2}}W, \quad Q_{22} = \sum_{r=1}^{2m}S_{0_{r}} - \sum_{r=1}^{2m}R_{0_{r}} + \frac{1}{2}W_{2}$$

$$Q_{23} = P - \frac{1}{2}I_{n-1}, \quad Q_{24} = [R_{0_{1}}\dots R_{0_{2m}}]$$

$$Q_{25} = \left[-\frac{1}{2}\bar{T}_{1},\dots,-\frac{1}{2}\bar{T}_{2m}\right]$$

$$Q_{33} = -\varepsilon I_{n-1} + \sum_{r=1}^{2m}h_{0_{r}}^{2}R_{0_{r}} + \sum_{r=1}^{2m}(h_{1_{r}} - h_{0_{r}})^{2}R_{1_{r}}$$

$$Q_{35} = \left[-\frac{\varepsilon}{2}\bar{T}_{1},\dots,-\frac{\varepsilon}{2}\bar{T}_{2m}\right]$$

$$Q_{44} = -S_{0} + S_{1} - R_{0} - R_{1}, \quad Q_{45} = Q_{56} = R_{1} - S_{12}$$

$$Q_{55} = -2R_{1} + S_{12} + S_{12}^{\top} \quad Q_{66} = -R_{1} - S_{1},$$

$$Q_{77} = -\frac{1}{2}\bar{\gamma}I_{n}, \quad Q_{88} = -\frac{1}{2}\bar{\gamma}I_{n-1}$$

with T_r being defined in (IV.11) and

$$\begin{bmatrix} R_1 & S_{12} \\ * & R_1 \end{bmatrix} \ge 0. \tag{IV.13}$$

Choose the controller parameters as

$$\kappa = \bar{\kappa}^2, \quad \mathcal{T}_r = \frac{1}{\kappa} \bar{\mathcal{B}}_r \mathcal{Z} \, \mathcal{B}^\top. \quad (IV.14)$$

Then, for all $\tau_r(t) \in [h_{0_r}, h_{1_r}]$, the origin is a locally uniformly asymptotically stable equilibrium point of the system (IV.9) and the system has a small-signal L_2 -gain less than or equal to $\gamma = \sqrt{\overline{\gamma}}$ with respect to the supply rate $s(d, y) = (1/2)(\gamma^2 ||d||_2^2 - ||y||_2^2)$, where d and y are given in (IV.9).

Proof: The proof is established by combining the ideas of the related stability analysis conducted in [20] with the control design approach using the descriptor method, which has been applied previously to linear time-delay systems (see [26]). By noting that the delays appear only in \tilde{p} , consider the LKF

$$V(x, \dot{x}, t) = V_1 + \sum_{r=1}^{2m} V_{2_r}$$

$$V_1 = \frac{1}{2} \tilde{\omega}^\top(t) M \tilde{\omega}(t) + U(\tilde{\theta}(t) + \theta^s) - \nabla U(\theta^s)^\top \tilde{\theta}(t)$$

$$\begin{split} &+\tilde{p}^{\top}(t)P\,\tilde{p}(t)+\frac{\kappa}{2\mu}(\mathbb{1}_{n}^{\top}A^{-1}\tilde{\theta}(t))^{2}\\ &+\epsilon\tilde{\omega}^{\top}(t)M\mathbb{1}_{n}\mathbb{1}_{n}^{\top}A^{-1}\tilde{\theta}(t)\\ &+\epsilon\tilde{\omega}^{\top}(t)AM\left(\nabla U(\tilde{\theta}(t)+\theta^{s})-\nabla U(\theta^{s})\right)\\ V_{2_{r}}&=\int_{t-h_{0_{r}}}^{t}\tilde{p}^{\top}(s)S_{0_{r}}\tilde{p}(s)ds+\int_{t-h_{1_{r}}}^{t-h_{0_{r}}}\tilde{p}^{\top}(s)S_{1_{r}}\tilde{p}(s)ds\\ &+h_{0_{r}}\int_{-h_{0_{r}}}^{0}\int_{t+\phi}^{t}\dot{p}^{\top}(s)R_{0_{r}}\dot{p}(s)dsd\phi\\ &+(h_{1_{r}}-h_{0_{r}})\int_{-h_{1_{r}}}^{-h_{0_{r}}}\int_{t+\phi}^{t}\dot{p}^{\top}(s)R_{1r}\dot{p}(s)dsd\phi \end{split}$$
(IV.15)

where $\epsilon > 0$, P > 0, $S_{0_r} > 0$, $S_{1_r} > 0$, $R_{0_r} > 0$, and $R_{1_r} > 0$.

The function V_1 consists of the traditional kinetic and potential energy terms $\tilde{\omega}^{\top} M \tilde{\omega}$ and $U(\tilde{\theta}(t) + \theta^s)$, respectively, together with a Bregman term to center the Lyapunov function [11] as well as a quadratic term in the reduced controller states \tilde{p} . Furthermore, a Chetaev-type cross term between $\tilde{\omega}$ and $\tilde{\theta}$ is added, which, as shown in the sequel, is essential to ensure that \dot{V} is strictly negative definite. The functions V_{2_r} are designed to account for the presence of interval fast-varying communication delays [26].

At first, we show that V in (IV.15) is strict locally positive definite. The gradient of V_1 is given by

$$\nabla V_1 = \begin{bmatrix} v_1 \\ v_2 \\ 2P\tilde{p} \end{bmatrix}$$
(IV.16)

with

$$v_{1} = \nabla U(\tilde{\theta} + \theta^{s}) - \nabla U(\theta^{s}) + \epsilon \nabla^{2} U(\tilde{\theta} + \theta^{s})^{\top} M A \tilde{\omega} + \frac{\kappa}{\mu} (A^{-1} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1}) \tilde{\theta} + \epsilon A^{-1} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} M \tilde{\omega} v_{2} = M \tilde{\omega} + \epsilon A M (\nabla U(\tilde{\theta} + \theta^{s}) - \nabla U(\theta^{s})) + \epsilon M \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta}.$$

Clearly, at the equilibrium point $x^s = \mathbb{O}_{3n-1}$, $\nabla V_1 = \mathbb{O}_{3n-1}$. Moreover, the Hessian of V_1 evaluated at x^s is given by

$$\nabla^2 V_1|_{x^s} = \begin{bmatrix} v_{11} & v_{12} & 0 \\ * & M & 0 \\ * & * & 2P \end{bmatrix}$$
(IV.17)

where

$$v_{11} = \nabla^2 U(\theta^s) + \frac{\kappa}{\mu} A^{-1} \mathbb{1}_n \mathbb{1}_n^\top A^{-1}$$
$$v_{12} = \epsilon A M \nabla^2 U(\theta^s) + \epsilon M \mathbb{1}_n \mathbb{1}_n^\top A^{-1}.$$
(IV.18)

It is well known that with Assumption 6, $\nabla^2 U(\theta^s)$ is a Laplacian matrix with $\ker(\nabla^2 U(\theta^s)) = \operatorname{span}(\mathbb{1}_n)$ [20], [38]. Furthermore, $A^{-1}\mathbb{1}_n\mathbb{1}_n^{\top}A^{-1}$ is a positive semidefinite matrix and $\ker(A^{-1}\mathbb{1}_n\mathbb{1}_n^{\top}A^{-1}) \cap \ker(\nabla^2 U(\theta^s)) = \mathbb{0}_n$. In addition, M is a diagonal matrix with positive diagonal entries and P > 0. Thus, all block-diagonal entries of $\nabla^2 V|_{x^s}$ are positive definite. This implies that there is a sufficiently small $\epsilon^* > 0$ such that for all $\epsilon \in]0, \epsilon^*]$, we have that $\nabla^2 V|_{x^s} > 0$. Furthermore, S_{0_r}, S_{1r}, R_{0_r} , and R_{1_r} in V_{2_r} are positive-definite matrices. Therefore, x^s is a strict minimum of V.

Recall that we seek to design controller gains such that the L_2 -gain of the system (IV.9) is minimized while also ensuring

delay robustness. By using [26, Lemma 4.3], this translates to the following constraint optimization problem:

 $\min \gamma$ s. t. $\dot{V}(x, \dot{x}, t) - \frac{1}{2} (\gamma^2 ||d(t)||_2^2 - ||y(t)||_2^2)$ $\leq -\varrho (||x(t)||_2^2 + ||d(t)||_2^2)$

where \dot{V} denotes the time derivative of the LKF V in (IV.15) and ρ is some positive constant. Differentiating V yields

$$\begin{split} \dot{V} &= \dot{V}_{1} + \sum_{r=1}^{2m} \dot{V}_{2r} \\ \dot{V}_{1} &= -\tilde{\omega}^{\top} D\tilde{\omega} - \kappa^{\frac{1}{2}} \tilde{\omega}^{\top} \mathcal{K}^{\frac{1}{2}} W \tilde{p} + \tilde{\omega}^{\top} d_{\omega} + \tilde{p}^{\top} P \dot{p} + \dot{p}^{\top} P \tilde{p} \\ &+ \epsilon \tilde{\omega}^{\top} A M \nabla^{2} U (\tilde{\theta} + \theta^{s}) \tilde{\omega} \\ &- \epsilon \tilde{\omega}^{\top} D A (\nabla U (\tilde{\theta} + \theta^{s}) - \nabla U (\theta^{s})) \\ &+ \epsilon d_{\omega}^{\top} A (\nabla U (\tilde{\theta} + \theta^{s}) - \nabla U (\theta^{s})) \\ &- \epsilon \tilde{p}^{\top} W^{\top} (\kappa \mathcal{K})^{\frac{1}{2}} A (\nabla U (\tilde{\theta} + \theta^{s}) - \nabla U (\theta^{s})) \\ &- \epsilon (\nabla U (\tilde{\theta} + \theta^{s}) - \nabla U (\theta^{s}))^{\top} A (\nabla U (\tilde{\theta} + \theta^{s}) - \nabla U (\theta^{s})) \\ &+ \epsilon \tilde{\omega}^{\top} M \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\omega} - \epsilon \tilde{\omega}^{\top} D \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} \\ &- \epsilon \tilde{p}^{\top} W^{\top} (\kappa \mathcal{K})^{\frac{1}{2}} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} + \epsilon d_{\omega}^{\top} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} \\ &- \epsilon \tilde{\mu} \tilde{\theta}^{\top} A^{-1} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} \\ &- \epsilon \frac{\kappa}{\mu} \tilde{\theta}^{\top} A^{-1} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} + \epsilon d_{\omega}^{\top} \mathbb{1}_{n} \mathbb{1}_{n}^{\top} A^{-1} \tilde{\theta} \\ &- \delta \tilde{\mu}^{\top} (t) S_{0r} \tilde{p}(t) - \tilde{p}^{\top} (t - h_{0r}) (S_{0r} - S_{1r}) \tilde{p}(t - h_{0r}) \\ &- \tilde{p}^{\top} (t - h_{1r}) S_{1r} \tilde{p}(t - h_{1r}) \\ &+ \dot{p}^{\top} (t) (h_{0r}^{2} R_{0r} + (h_{1r} - h_{0r})^{2} R_{1r}) \dot{\tilde{p}}(t) \\ &- h_{0r} \int_{t - h_{0r}}^{t} \dot{\tilde{p}}^{\top} (s) R_{0r} \dot{\tilde{p}}(s) ds \\ &- (h_{1r} - h_{0r}) \int_{t - h_{1r}}^{t - h_{0r}} \dot{\tilde{p}}^{\top} (s) R_{1r} \dot{\tilde{p}}(s) ds. \end{split}$$
(IV.19)

Since under the conditions of the theorem, the second LMI in (IV.12) is feasible, and applying Jensen's inequality together with in [26, Lemma 3.3] (see also [45]) gives

$$-h_{0_r} \int_{t-h_{0_r}}^{t} \dot{\tilde{p}}^{\top}(s) \ R_{0_r} \dot{\tilde{p}}(s) ds \\ \leq -\left[\tilde{p}(t) - \tilde{p}(t-h_{0_r})\right]^{\top} R_{0_r} \left[\tilde{p}(t) - \tilde{p}(t-h_{0_r})\right]$$

and likewise

$$\begin{aligned} -(h_{1_r} - h_{0_r}) \int_{t-h_{1_r}}^{t-h_{0_r}} \dot{\tilde{p}}^{\top}(s) \ R_{1_r} \dot{\tilde{p}}(s) ds \\ &= -(h_{1_r} - h_{0_r}) \left(\int_{t-h_{1_r}}^{t-\tau_r(t)} \dot{\tilde{p}}^{\top}(s) \ R_{1_r} \dot{\tilde{p}}(s) ds \\ &+ \int_{t-\tau_r(t)}^{t-h_{0_r}} \dot{\tilde{p}}^{\top}(s) \ R_{1_r} \dot{\tilde{p}}(s) ds \right) \leq -\eta_r^{\top} \begin{bmatrix} R_{1_r} \ S_{12_r} \\ * \ R_{1_r} \end{bmatrix} \eta \end{aligned}$$

where

$$\eta_r = \operatorname{col}(\tilde{p}(t-h_{0_r}) - \tilde{p}(t-\tau_r(t)), \, \tilde{p}(t-\tau_r(t)) - \tilde{p}(t-h_{1_r}))$$

Next, we apply the descriptor method (see [26, Ch. 3]). Let P_2 and P_3 be matrix variables and add the expression

$$0 = 2\left[\tilde{p}^{\top}P_{2}^{\top} + \dot{\tilde{p}}^{\top}P_{3}^{\top}\right] \\ \left[\kappa^{\frac{1}{2}}W^{\top}\mathcal{K}^{\frac{1}{2}}\tilde{\omega} - \kappa W^{\top}\mathcal{K}^{\frac{1}{2}}A\left(\sum_{r=1}^{2m}\mathcal{T}_{r}A\mathcal{K}^{\frac{1}{2}}W\tilde{p}(t-\tau_{r}(t))\right) + d_{p} - \dot{\tilde{p}}\right]$$

to (IV.19). Furthermore, by defining

$$\begin{split} \xi &= \operatorname{col}\Big(\big(\nabla U(\tilde{\theta} + \theta^s) - \nabla U(\theta^s)\big), \big(\mathbb{1}_n\mathbb{1}_n^\top A^{-1}\tilde{\theta}\big), \tilde{\omega} \\ \tilde{p}, \dot{\tilde{p}}, \xi_1, \xi_2, \xi_3, d_{\omega}, d_p\Big) \\ \xi_1 &= \operatorname{col}\big(\tilde{p}(t - h_{0_1}), \dots, \tilde{p}(t - h_{0_{2m}})\big) \\ \xi_2 &= \operatorname{col}\big(\tilde{p}(t - \tau_1(t)), \dots, \tilde{p}(t - \tau_{2m}(t))) \\ \xi_3 &= \operatorname{col}\big(\tilde{p}(t - h_{1_1}), \dots, \tilde{p}(t - h_{1_{2m}})\big) \end{split}$$

selecting $P_2 = (1/2)I_{n-1}$ and $P_3 = \epsilon P_2 = (\epsilon/2)I_{n-1}$ with $\epsilon > 0$, recalling \overline{T}_r in (IV.11), and defining $\overline{\kappa} = \kappa^{(1/2)}$ and $\overline{\gamma} = \gamma^2$, we then obtain

$$\dot{V} - \frac{1}{2} \left(\gamma^2 \|d\|_2^2 - \|y\|_2^2 \right) \le \check{\zeta}^{\mathsf{T}} \left(\begin{bmatrix} 0 & 0 \\ * & Q \end{bmatrix} + \epsilon \Xi \right) \check{\zeta} \quad (\text{IV.20})$$

where Q is given in (IV.12), and

	-A	O	$-\frac{1}{2}AD$	E_{14}	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	$\frac{1}{2}A$	07	
	*	$-\frac{\kappa}{\mu}A^{-1}$	$\begin{array}{c} -\frac{1}{2}AD\\ -\frac{1}{2}D\end{array}$	E_{24}	\mathbb{O}	\mathbb{O}	Ø	\mathbb{O}	$\frac{1}{2}I_n$	0	
	*	*	$\frac{1}{2}E_{33}$	\mathbb{O}		\mathbb{O}		\mathbb{O}	\mathbb{O}	0	
	*	*	*	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	0	
Ξ	*	*	*	*	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	0	
<u> </u>	*	*	*	*	*	\mathbb{O}	\mathbb{O}	\mathbb{O}	\mathbb{O}	0	
	*	*	*	*	*	*	\mathbb{O}	\mathbb{O}	\mathbb{O}	0	
	*	*	*	*	*	*	*	\mathbb{O}	\mathbb{O}	0	
	*	*	*	*	*	*	*	*	\mathbb{O}	0	
	*	*	*	*	*	*	*	*	*	0	

with

$$E_{14} = -\frac{1}{2}A(\kappa \mathcal{K})^{\frac{1}{2}}W, \qquad E_{24} = -\frac{1}{2}(\kappa \mathcal{K})^{\frac{1}{2}}W$$
$$E_{33} = AM\nabla^{2}U(\tilde{\theta} + \theta^{s}) + \nabla^{2}U(\tilde{\theta} + \theta^{s})MA$$
$$+M\mathbb{1}_{n}\mathbb{1}_{n}^{\top}A^{-1} + A^{-1}\mathbb{1}_{n}\mathbb{1}_{n}^{\top}M.$$

Under the standing assumptions, Q < 0. Furthermore, the upper 2 × 2 block of Ξ is negative definite. Thus, by invoking [20, Lemma 11], we conclude that the matrix sum in (IV.20) is negative definite for some small $\epsilon > 0$. Consequently

$$\dot{V}(x,\dot{x},t) - \frac{1}{2} (\gamma^2 \|d(t)\|^2 - \|y(t)\|^2) \le -\varrho (\|x(t)\|_2^2 + \|d(t)\|_2^2)$$

for some $\epsilon \in \mathbb{R}_{>0}$ and $\varrho \in \mathbb{R}_{>0}$. By invoking [26, Lemma 4.3], we conclude that the origin of the system (IV.9) is locally uniformly asymptotically stable and that the system has a small-signal L_2 -gain less than or equal to $\gamma = \sqrt{\overline{\gamma}}$.

To conclude the proof, we note that the matrix Q in (IV.12) is an LMI in the controller variables $\bar{\kappa}$ and \bar{T}_r as well as in the auxiliary variables $\bar{\gamma}$, R_0 , R_1 , S_{12} , S_0 , and S_1 with

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additional (fixed) tuning parameter ε . Therefore, sparsity of the communication network can be included in the control design by augmenting the cost function in the optimization problem (IV.12) with the term trace(\overline{Z}). This yields the constraint convex optimization problem (IV.12), where we have included additional weighting factors to trade off L_2 -gain performance (α) against frequency error convergence¹ (β) and communication efforts (W_Z).

Remark 9: The LKF candidate V in (IV.15) differs from the one employed in our previous work [27] due to the consideration of interval heterogeneous fast-varying delays τ_r and the inclusion of the matrix variable P in the quadratic term in \tilde{p} . As a consequence, the matrix Q in (IV.12) also depends (linearly) on P. This additional degree of freedom together with the consideration of interval delays yields, in general, less conservative conditions as those derived in [27] (see the numerical examples in Section V).

Remark 10: With regard to the feasibility of the optimization problem (IV.12), we see from the definition of the matrix Q in (IV.12) that for any given h_{0_r} and h_{1_r} , r = 1, ..., 2m, choosing $\varepsilon \gg 0$, $\bar{\kappa} \ll 1$, and $\|\vec{Z}\| \ll 1$ ensures that there always exists $\bar{\gamma} \gg 1$ such that Q < 0. Hence, the optimization problem can always be parameterized such that a feasible solution exists. However, we can also see from (IV.12) that with increasing value of h_{1_r} , the achievable L_2 -gain performance is likely to deteriorate, which is to be expected (as in the considered system (IV.9) delays deteriorate the performance).

Remark 11: The optimization problem (IV.12) has been derived such that it is linear and convex in both the objective function and the constraints. Hence, it can be solved efficiently using standard numerical methods [46], [47], also for large-scale problems.

V. NUMERICAL EXAMPLE

The performance of the proposed controller synthesis and the inherent design tradeoff between the maximum guaranteed L_2 -gain and the sparsity of the communication network is illustrated by numerical experiments on the three-phase islanded Subnetwork 1 of the CIGRE benchmark MV network [34] shown in Fig. 1.

The system contains 11 main buses and a total of 15 generation units. The values of the network parameters are mainly taken from [34]. Similar to [38], the following modifications are made compared to the original system in [34]. At bus 9b, an inverter-interfaced combined heat and power (CHP) fuel cell (FC) is used instead of the CHP diesel generator. Moreover, the power ratings of the controllable generation units [CHPs, batteries, FC, and photovoltaics (PVs)] are scaled by a factor 4 to be able to meet the load demand of the system in the islanded mode. In order to integrate the PV units at buses 4, 6, 7, and 11 in the frequency control, we assume that they are operated at 70% of their actual maximum power point

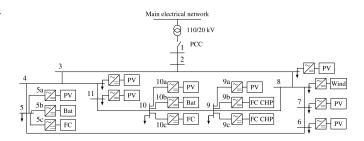


Fig. 1. 20-kV MV CIGRE benchmark microgrid with 11 main buses and inverter-interfaced units of type: PV, FC, battery, CHP FC, and wind turbine. The controlled units are located at buses 4, 5b, 5c, 6, 7, 9b, 9c, 10b, 10c, and 11. PCC denotes the point of common coupling to the main grid.

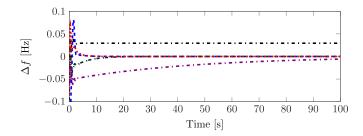
and, thus, can increase or decrease their generation. We also assume that all controllable units are equipped with frequency droop control.

Noncontrolled generation units are connected at buses 3 and 8. The loads in the network represent industrial and household loads. Their data are specified in [34, Table 1]. Moreover, the largest R/X ratio in the reduced admittance matrix is less than 0.3. Thus, the assumption of dominantly inductive admittances is satisfied.

To carry out the secondary control design, i.e., to solve the optimization problem (IV.12) and following our analysis, we assume that the communication between different units is affected by heterogeneous fast-varying delays. To this end, we divide the network into four different groups of generation units based on the geographical distances between them (see Fig. 1). Then, we assume that the communication among units within the same group is affected by a lower time delay than that between units from different groups (since these are located further apart). Thus, we consider delays $h_{0_1} =$ 150 ms $\leq \tau_1(t) \leq h_{1_1} = 200$ ms between generators 4, 5b, 5c, and 11, $h_{0_2} = 200 \text{ ms} \le \tau_2(t) \le h_{1_2} = 250 \text{ ms}$ between 9b, 9c, 10b, and 10c, $h_{0_3} = 100 \text{ ms} \le \tau_3(t) \le h_{1_3} = 150 \text{ ms}$ between generators 6 and 7. Moreover, the maximum delay between the remaining nodes in the network is $h_{0_4} = 450 \text{ ms} \le \tau_4(t) \le h_{1_4} = 500 \text{ ms}$. Furthermore, the matrix A is chosen as $A = \text{diag}(S_i^N)^{-1}$, where $S_i^N =$ [0.0168, 0.5053, 0.0278, 0.0253, 0.0253, 0.2611, 0.1785,0.1684, 0.0118, 0.0084] are the power ratings of the inverters in per unit (pu). We set $K = \kappa D$, where D =diag(0.084, 2.526, 0.139, 0.126, 0.126, 1.305, 0.893, 0.842, 0.059, 0.042) and $\varepsilon = 0.3$. The numerical implementation is conducted on a machine featuring an Intel Core i5-6400 with 16 GB of RAM and using MATLAB (R2018b), Yalmip (version 09-02-2018) [47], and the solver Mosek (version 8.1.0.51) [48].

Recall that the objective function of our proposed controller synthesis in (IV.12) is parameterized in terms of the weightings α , β , and $W_{\mathbb{Z}}$. To illustrate the effects which these different weighting parameters have on the resulting secondary frequency controller and on the closed-loop performance, we pursue a two-step case study. In the first design step, we illustrate the influence of α and β on the relation of the feedback gain κ and the estimated L_2 -gain γ . This is done without enforcing any additional sparsity requirements on the communication

¹In our experience, with $\beta = 0$, the numerical value of $\bar{\kappa}$ resulting from the optimization problem is typically very small. This is explained by the fact that $\bar{\kappa}$ only appears in a positive off-diagonal term in Q in (IV.12). Yet, when tested in simulations, it turns out that a minimum value of $\bar{\kappa}$ is required to drive the frequency error to zero, thus justifying the choice $\beta > 0$ (see also the numerical experiments in Section V).



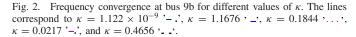


TABLE I Results for κ and γ Obtained From Solving the Optimization Problem (IV.12) in "Design Step 1" for Different Values of α and β

α	1	0	1	3	1
β	0	1	1	1	3
γ	3.6325	123.598	3.6614	3.6358	3.7092
κ	1.12×10^{-9}	1.1676	0.1844	0.0217	0.4656
Number of communication links (with $W_Z = 0$)	45	27	28	37	21

topology (i.e., $W_{\mathcal{Z}} = 0$). As a result of this first design step, we identify a nominal controller parameterization along with a nominal estimate for the L_2 -gain. These nominal values are then used as references for the second design step, which explores the impact of reducing the number of communication links on the L_2 -gain performance. We remark that during all design steps, robustness with respect to the specified heterogeneous fast-varying delays $\tau_1(t), \ldots, \tau_4(t)$ is guaranteed (as long as the optimization problem (IV.12) is feasible).

Design Step 1: In the first step, we consider different values of α and β with $W_{\mathcal{Z}} = 0$. The main purpose of this stage is to illustrate the necessity to include $\beta \neq 0$ in the problem (IV.12). Hence, to start with, we set $\beta = 0$ and solve the optimization problem (IV.12). The design problem is feasible but yields a value for κ close to zero, which leads to a rather slow convergence of the frequency to its nominal value (see Fig. 2). This undesired behavior can be alleviated by setting $\beta > 0$ when solving (IV.12). Furthermore, on the other extreme, setting $\alpha = 0$ leads to a higher value of κ , but a much larger upper estimate of the L_2 -gain γ , which indicates a degradation of the robustness properties of the closed-loop system with respect to external perturbations. Consequently, in order to obtain a controller parameterization, which simultaneously yields fast frequency convergence and robustness, $\alpha > 0$ and $\beta > 0$ have to be chosen. Further results for κ and γ are given in Table I for different values of α and β . The frequency convergence for the different cases in Table I is shown for the unit at bus 9b in Fig. 2.

Since all scenarios in Table I with $\alpha \neq 0$ and $\beta \neq 0$ have very similar L_2 -gain performances, we simulate the closed-loop system by using the largest feedback gain, i.e., $\kappa = 0.4656$ and $\gamma = 3.7092$, for two disturbance

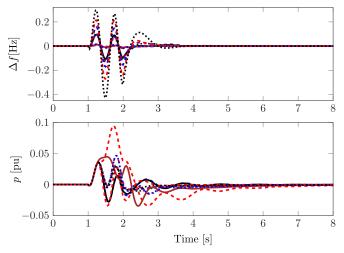


Fig. 3. Simulation results of the system (IV.9) with $\kappa = 0.4656$ and $\gamma = 3.7092$, after being subjected to sinusoidal disturbances: $d_{\omega} = d_p = 0.2 \sin(12.57t)$ [pu] for $t \in [1, 2]$.

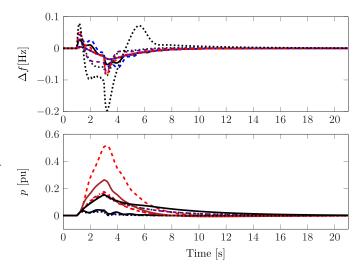


Fig. 4. Simulation results of the system (IV.9) with $\kappa = 0.4656$ and $\gamma = 3.7092$, after being subjected to disturbances: a step disturbance of magnitude 0.1 [pu] is applied to the electrical layer, while white noise is applied in the communication layer for $t \in [1, 3]$.

scenarios. In the first scenario, the system is being subjected to sinusoidal disturbances $d_{\omega} = d_p = 0.2 \sin(12.57t)$ [pu] in both the electrical and communication layers for $t \in [1, 2]$, which can be interpreted as possible oscillations due to harmonics or load variations. The resulting system trajectories are shown in Fig. 3, from which it can be seen that the system returns to the original equilibrium point after the disturbances vanish. In the second disturbance scenario, a step disturbance of magnitude 0.1 [pu] starting at t = 1 s and lasting until t = 3 s is applied to the electrical layer, while a white noise disturbance signal is applied to the communication layer. The behavior of the system trajectories is shown in Fig. 4. Also, in this case, the system trajectories remain bounded and converge to the equilibrium after the disturbances have vanished. For the present simulations, the fast-varying delays are generated by using the rate transition and variable time-delay blocks in MATLAB/Simulink with a sampling time $T_s = 2$ ms.

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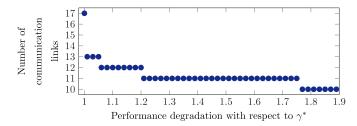


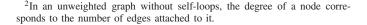
Fig. 5. Number of nonzero elements of \mathcal{Z} for different values of γ . The number of required communication links in the case of $\gamma^* = 3.7092$ is 17.

The number of required communication links is also given in Table I. It can be seen that with increasing magnitude of κ , the number of required links tends to decrease from 45 to around 25. Since the shape of the communication topology is a very important aspect when implementing the secondary control law (III.6), we seek to further explore its impact on the closed-loop performance in the next design step.

Design Step 2: In light of the earlier observations, we select $\gamma^* = 3.7092$ and $\kappa^* = 0.4656$ as a benchmark. Then, we redesign the controller with the aim of minimizing the number of communication links while preserving robustness with respect to heterogeneous time-varying delays. Fixing κ^* and γ^* corresponds to setting $\alpha = \beta = 0$ in (IV.12). The weighting matrix W_Z is determined by using the reweighted ℓ_1 -norm approach [32] [see also (IV.10)]. After solving the optimization problem (IV.12) for up to ten iterations, in each of which the weight matrix W_Z is updated, we obtain a controller with 17 communication links with the same L_2 -gain performance as in the case of 21 communication links.

To further investigate the tradeoff between the L_2 -gain performance and the required communication efforts, we successively degrade the required L_2 -performance by increasing the value of γ^* and then compute the necessary number of communication links by solving the optimization problem (IV.12). We find that by increasing the value of the performance index γ by less than 10% of γ^* , the number of communication links is further reduced from 17 to 12 (see Fig. 5), which are only three more links than the nine required to ensure the connectivity of the communication network. Hence, we conclude that our proposed controller synthesis is well suited to obtain practical parameterizations of the control law (III.3) that exhibit both good robustness properties and low communication requirements.

By evaluating the evolution of the nonzero entries in the Laplacian matrix \mathcal{L} , illustrated in the plots in Fig. 6, we find that the controller weighting matrix A seems to have a significant impact on the sparsity pattern. Namely, the unit at node 5b (i = 2) with the smallest entry a_{ii} has the largest initial degree² and also preserves that degree with increasing weight on the sparsity. Compared to this, the generation unit i = 10, which has the largest weight a_{ii} , has from the start only a degree of 1. Meanwhile, the degree of the remaining nodes is being reduced with increasing weight on the sparsity. Thereby, we observe that the communication links between



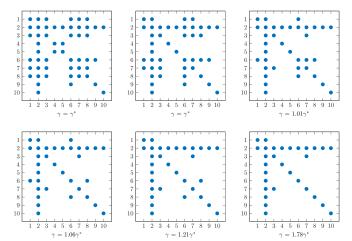


Fig. 6. Sparsity pattern of \mathcal{L} for different values of γ .

the generation units with larger weights a_{ii} (i = 1, 3, 5, 9, 10) disappear first. Hence, with $A = \text{diag}(S_i^N)^{-1}$, this implies that the larger generation units tend to have a higher degree of connectivity, which seems reasonable from a power engineering perspective.

The subsequent analysis is directed to further investigate how the controller parameters affect the convergence speed of the closed-loop system (III.7). To do so, we focus on the behavior of the controller state p. Since A is fixed by economic considerations and $K = \kappa \mathcal{K}$ where \mathcal{K} is fixed, the remaining degrees of freedom in the control design are κ and \mathcal{L} . The effect of κ on the convergence speed has already been studied in design step 1 (see Table I and Fig. 2). Thus, we now investigate how the sparsity of \mathcal{L} affects the convergence speed. Based on our numerical experiments, the controller states of all generation units exhibit a very similar behavior with regard to the convergence speed in dependence of the sparsity of \mathcal{L} . Therefore, we use generation unit 9b (i = 6) as an illustrative example, since as shown in Fig. 6, that unit has access to different numbers of communication links in the different topologies obtained during the design. From simulations (with the same initial condition), we observe that the convergence speed is only slightly reduced with increasing sparsity of \mathcal{L} (see Fig. 7). Based on our experience, the magnitude of κ has a more significant influence on the convergence speed than the shape of the communication network. This also motivated the inclusion of κ in the cost function of the optimization problem (IV.12).

Finally, we compare the conditions proposed in this article with those derived in [27]. To this end, we use the same parameters as in [27] and consider a uniform fast-varying delay $\tau_r(t) = \tau(t)$ with the difference being that, now, we set $h_0 \le \tau(t) \le h_1$ and $h_0 = 50$ ms instead of $h_0 = 0$ as in [27]. By employing the values of κ and γ as used in [27] and solving the optimization problem (IV.12), we obtain an admissible upper bound for the communication delay of $h_{1_{\text{new}}} = 134$ ms, which corresponds to $1.34h_1$, with h_1 being the maximum admissible delay obtained in [27]. This shows that the proposed control synthesis with interval time-varying

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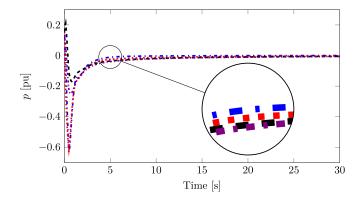


Fig. 7. Convergence of the state p for generation unit 9b (i = 6) with different numbers of communication links. The lines correspond to: No. comm. links = 6 '- .', No. comm. links = 4 '...', No. comm. links = 3 '- .', and No. comm. links = 1 '- .'.

delays derived in this article permits to obtain significantly improved stability guarantees.

VI. CONCLUSION

Consensus algorithms are promising control schemes for secondary control tasks in MGs. Since consensus algorithms are distributed protocols, communication efforts, disturbance attenuation, and robustness with respect to time delays are significant factors for the control design and closed-loop performance. In this article, we have jointly addressed these three challenges by proposing a design approach for a consensus-based secondary frequency controller in MGs that guarantees robustness with respect to heterogeneous fast-varying delays and simultaneously permits to trade off finite L_2 -gain performance against the sparsity of the required communication network. More precisely, both the LKF and the descriptor methods have been applied to develop a controller synthesis in the form of a constraint convex optimization problem. The proposed synthesis guarantees uniform local asymptotic stability for any operating point satisfying the usual safety requirement of the equilibrium phase angle differences being contained in an arc of length $(\pi/2)$.

Furthermore, the relevance of the provided weighting parameters on the resulting closed-loop behavior has been illustrated by a two-step design case study based on the CIGRE benchmark MV distribution network. The numerical results show that the proposed approach can be used to identify minimal communication topologies, while at the same time guaranteeing desired delay robustness and disturbance attenuation properties. In addition, we have shown how the weighting factors have to be chosen to facilitate a tradeoff between the L_2 -performance and the required communication efforts.

In future work, we plan to validate our design criterion experimentally and incorporate voltage and reactive power dynamics and control in the analysis. We will also investigate options to perform the control design procedure in a distributed fashion. Moreover, we will explore further applications of time-delay stability analysis and control design in MGs and bulk power systems.

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